## Formal definition of a system of push down automata

Aidan Delaney, Thomas J. Naughton, Damien Woods

April 17, 2003

We define a transducer pushdown automaton (TPA) to be a finite automaton that contains an unbounded stack and an unbounded output tape in addition to its finite input tape. More formally, a TPA  $M_0$  is a tuple  $M_0 = (K_0, \Sigma_0, \Gamma_0, \Delta_0, s_0, F_0)$  where  $K_0$  is a finite set of states,  $\Sigma_0$  and  $\Gamma_0$ are finite sets of symbols (the input and output alphabet, and the stack alphabet, respectively),  $\Delta_0$  is the transition function,  $s_0 \in K_0$  is the start state, and  $F_0 \subseteq K_0$  is the set of final states. The transition function  $\Delta_0$  is of the form  $\Delta_0 \subseteq (K_0 \times \Sigma_0 \times (\Gamma_0 \cup \{\varepsilon\})) \to (K_0 \times (\Gamma_0 \cup \{\varepsilon\}) \times \Sigma_0^*), \text{ where } \varepsilon \text{ is the empty word.}$ Each transition consists of  $M_0$  reading exactly one input symbol, popping at most one symbol from the stack, changing state, pushing at most one symbol to the stack, and appending a string to the output tape. Each configuration of  $M_0$ is an element of  $K_0 \times \Sigma_0^* \times \Gamma_0^* \times \Sigma_0^*$ , consisting of the current state, the remaining symbols on the input tape, the contents of the stack, and the contents of the output tape. A transition exists between configuration  $C = (\alpha, aw, bs, o)$  and configuration  $C' = (\beta, w, cs, od)$  if there exists a rule  $(\alpha, a, b) \to (\beta, c, d) \in \Delta_0$ , where  $\alpha, \beta \in K_0, a \in \Sigma_0, w \in \Sigma_0^*, b, c \in (\Gamma_0 \cup \{\varepsilon\}), s \in \Gamma_0^*, o, d \in \Sigma_0^*$ . It can be seen that each TPA is deterministic and always halts.

We define  $M = (K, \Sigma, \Gamma, \Delta, S, F)$  as a system of *n* TPAs, where each TPA *i* has a unique finite set of  $K_i$  states such that

$$K = \bigcup_{i=0}^{n-1} K_i, \bigcap_{i=0}^{n-1} K_i = \emptyset,$$

 $\Sigma$  is a finite alphabet of input/output symbols,  $\# \ni \Sigma$ ,  $\Gamma$  is a finite alphabet of stack symbols,  $\# \ni \Gamma$ ,  $S = \{s_i : s_i \in K_i, 0 \le i < n\}$  contains the start state for each TPA *i*, and *F* contains the final states for each TPA *i* such that

$$F = \bigcup_{i=0}^{n-1} F_i, F_i \subseteq K_i.$$

The transition function,  $\Delta \subseteq \Delta' \cup \Delta''$ , is defined as a subset of the union of the sets of all intra-TPA transitions and inter-TPA transitions. The set of all

intra-TPA transitions is

$$\Delta' = \bigcup_{i=0}^{n-1} ((K_i \times \Sigma \times (\Gamma \cup \{\varepsilon\})) \to (K_i \times (\Gamma \cup \{\varepsilon\}) \times \Sigma^*)).$$

The set of all inter-TPA transitions is

$$\Delta'' = \bigcup_{i=0}^{n-2} ((F_i \times \{\#\} \times \{\varepsilon\}) \to (\{s_{i+1}\} \times \{\varepsilon\} \times \{\#\})).$$

A configuration of M is an element of  $K \times (\Sigma_{\#} \times \Gamma^* \times \Sigma_{\#})^n$  where  $\Sigma_{\#} = (\Sigma^* \cup \{\#\})$ . The initial configuration of M is

$$(s_0, (w\#, \varepsilon, \varepsilon), (\varepsilon, \varepsilon, \varepsilon), \dots, (\varepsilon, \varepsilon, \varepsilon)),$$

where  $w \in \Sigma^*$  is the input to M. A final configuration of M is of the form

$$(f, (\varepsilon, \gamma_0, \varepsilon), (\varepsilon, \gamma_1, \varepsilon), \dots, (\#, \gamma_{n-1}, r)),$$

where  $f \in F_{n-1}$ ,  $r \in \Sigma^*$ , and  $\gamma_i \in \Gamma^*$ ,  $0 \le i < n$ .

Let ' $\vdash$ ' be a binary relation on configurations called the transition. A transition exists between configuration  $C_1$  and configuration  $C_2$ , denoted  $C_1 \vdash C_2$ , if  $C_1$  is of the form

$$(\alpha, (\varphi, (aw\#, bs, o), \chi)))$$

where  $\alpha \in K_i, w, o \in \Sigma^*, s \in \Gamma^*, a \in \Sigma, b \in (\Gamma \cup \{\varepsilon\}), \varphi \in (\Sigma_\# \times \Gamma^* \times \Sigma_\#)^p, \chi \in (\Sigma_\# \times \Gamma^* \times \Sigma_\#)^q, p + q + 1 = n \text{ and } C_2 \text{ is of the form}$ 

$$\left(eta, \left(arphi, (w\#, cs, od), \chi
ight)
ight),$$

where  $\beta \in K_i, d \in \Sigma^*, c \in (\Gamma \cup \{\varepsilon\})$  and a transition rule of the following form exists in  $\Delta$ 

$$(\alpha, a, b) \to (\beta, c, d),$$

or, if  $C_1$  is of the form

$$(f, (\psi, (\#, \gamma_{p+1}, r), (\varepsilon, \varepsilon, \varepsilon), \omega))$$

where  $f \in F_i, \psi \in (\Sigma_\# \times \Gamma^* \times \Sigma_\#)^p, \omega \in (\Sigma_\# \times \Gamma^* \times \Sigma_\#)^q, p+q+2 = n, \gamma_{p+1} \in \Gamma^*, r \in \Sigma^* \text{ and } C_2 \text{ is of the form}$ 

$$(s_{i+1}, (\psi(\varepsilon, \gamma_{p+1}, \varepsilon), (r\#, \varepsilon, \varepsilon)\omega)),$$

where a transition rule of the following form exists in  $\Delta$ 

$$(f, \#, \varepsilon) \to (s_{i+1}, \varepsilon, \#))$$

We denote the reflexive and transitive closure of  $\vdash$  as  $\vdash^*$ . An accepting computation for M with input w exists if and only if  $C_{initial} \vdash^* C_{final}$  where  $C_{initial}$  is an initial configuration and  $C_{final}$  is a final configuration.