

Waiting for Gödel

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Hamilton Institute



Science
Foundation
Ireland **sfi**
For what's next

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An old question

$$\sum_{k=1}^n k = \frac{1}{2} n(n+1)$$

$$\int_{-\infty}^{\infty} e^{-x^2} dx = \sqrt{\pi}$$

$$\sum_{k=1}^{\infty} \frac{1}{k^2} = \frac{\pi^2}{6}$$

$$\begin{aligned} \frac{\pi}{2} &= \prod_{n=1}^{\infty} \frac{4n^2}{4n^2 - 1} = \prod_{n=1}^{\infty} \left(\frac{2n}{2n-1} \cdot \frac{2n}{2n+1} \right) \\ &= \left(\frac{2}{1} \cdot \frac{2}{3} \right) \cdot \left(\frac{4}{3} \cdot \frac{4}{5} \right) \cdot \left(\frac{6}{5} \cdot \frac{6}{7} \right) \cdot \left(\frac{8}{7} \cdot \frac{8}{9} \right) \cdot \dots \end{aligned}$$

What is the result of this computation?

A new question

What does it mean to compute?

Naïvely: Doing something in an organised/programmed way.

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With this question, formalised in the 30's, computer science was born!

- ~1930: Alonzo Church, Lambda Calculus
- 1936: Stephen Cole Kleene, general recursive functions
- 1936: Alan Turing, **Turing machines**
- 1943: Emil Post, Tag systems
- 1945: von Neumann, RAM model

A new question

What does it mean to compute?

These models look different:

- $(\lambda f.\lambda g.\lambda h.fg(hh))(\lambda x.\lambda y.x)h(\lambda x.xx)$
- $\rightarrow_{\beta} (\lambda g.\lambda h.(\lambda x.\lambda y.x)g(hh))h(\lambda x.xx)$ (1)
- $\rightarrow_{\alpha} (\lambda g.\lambda k.(\lambda x.\lambda y.x)g(kk))h(\lambda x.xx)$ (2)
- $\rightarrow_{\beta} (\lambda k.(\lambda x.\lambda y.x)h(kk))(\lambda x.xx)$ (3)
- $\rightarrow_{\beta} (\lambda x.\lambda y.x)h((\lambda x.xx)(\lambda x.xx))$ (4)
- $\rightarrow_{\beta} (\lambda y.h)((\lambda x.xx)(\lambda x.xx))$ (5)
- $\rightarrow_{\beta} h$ (6)

Lambda Calculus

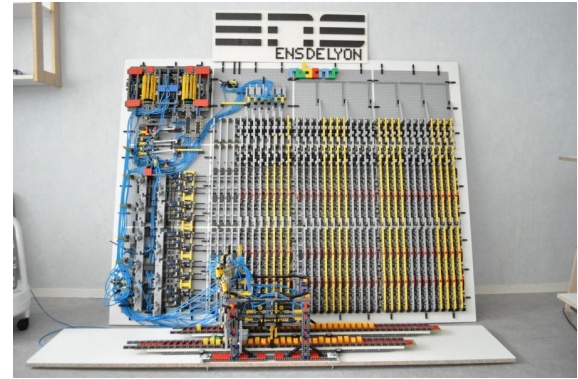
$$\begin{cases} \xi(0, b, a) = a+b, \\ \xi(n', 0, a) = \alpha(n, a), \\ \xi(n', b', a) = \xi(n, \xi(n', b, a), a). \end{cases}$$

Recursive Functions

g_{10}	$\$_{11}$	g_{11}	$\$_{12}$	g_{12}	\dots	$\$_{1m_1}$	g_{1m_1}
g_{20}	$\$_{21}$	g_{21}	$\$_{22}$	g_{22}	\dots	$\$_{2m_2}$	g_{2m_2}
\vdots	\vdots	\vdots	\vdots	\vdots	\ddots	\vdots	\vdots
g_{k0}	$\$_{k1}$	g_{k1}	$\$_{k2}$	g_{k2}	\dots	$\$_{km_k}$	g_{km_k}

$$\begin{matrix} \downarrow \\ h_0 \quad \$'_1 \quad h_1 \quad \$'_2 \quad h_2 \quad \dots \quad \$'_n \quad h_n \end{matrix}$$

Tag Systems



Turing Machines

A new question

What does it mean to compute?

1. These models look different but they all can **simulate** one another

A new question


What does it mean to compute?

1. These models look different but they all can **simulate** one another
2. Any computation we ever thought of, we have been able to implement with a Turing machine (or any other of these models)

Church-Turing (philosophical) thesis.

Something is physically computable if and only if it can be computed by a **Turing machine**.

A low-level programming language running on an ideal primitive computer.



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Naively: Doing something in an organised/programmed way.

Another question

Can we know everything?

At least, can we know everything about the natural numbers?

$$\mathbb{N} = \{0, 1, 2, \dots\} \text{ with } \{+, \times, <\}$$

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- The sum of two even numbers is even: **True**
- There are finitely many primes: **False**

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$$\mathbb{N} = \{0, 1, 2, \dots\} \text{ with } \{+, \times, <\}$$

- The sum of two even numbers is even: **True**
- There are finitely many primes: **False**
- If a^2 ends in the pattern $xyxyxyxyxy$ then xy is either 21, 61 or 84:
 $508853989^2 = 258932382121212121$. **True**

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- If a^2 ends in the pattern $xyxyxyxyxy$ then xy is either 21, 61 or 84:
 $508853989^2 = 258932382121212121$. **True**
- Every integer greater than 5 can be written as the sum of 3 primes. ?? **Goldbach's conjecture.**

At least, can we know everything about the natural numbers?

$$\mathbb{N} = \{0, 1, 2, \dots\} \text{ with } \{+, \times, <\}$$

No, we can't :(

First Incompleteness Theorem (Kurt Gödel, 1931)

*For any **consistent** and **computable** set of axioms expressed in the language of arithmetic,
There exists a statement that is true in the natural numbers but that cannot be proved from this set of axioms.*

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Such statement is said to be “**undecidable**” with respect to the system of axioms that was chosen:

- Maybe Goldbach's conjecture is undecidable **with respect to** Peano Axiom's?
- Maybe Goldbach's conjecture is undecidable **with respect to** ZFC Axioms?

But in any case, Goldbach's conjecture is either true or false in the natural numbers.

At least, can we know everything about the natural numbers?

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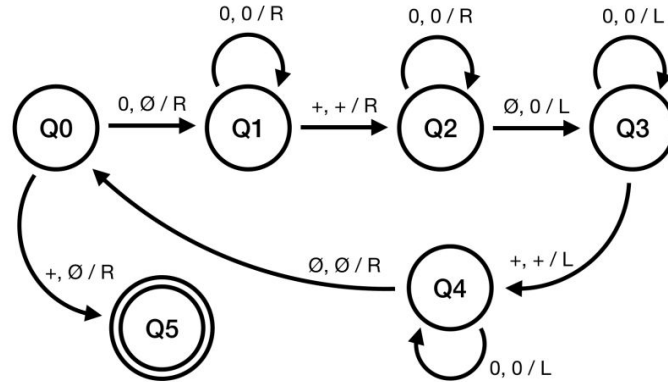
*For any **consistent** and **computable** set of axioms expressed in the language of arithmetic,
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But how do we know that the statement is true if we cannot prove it??

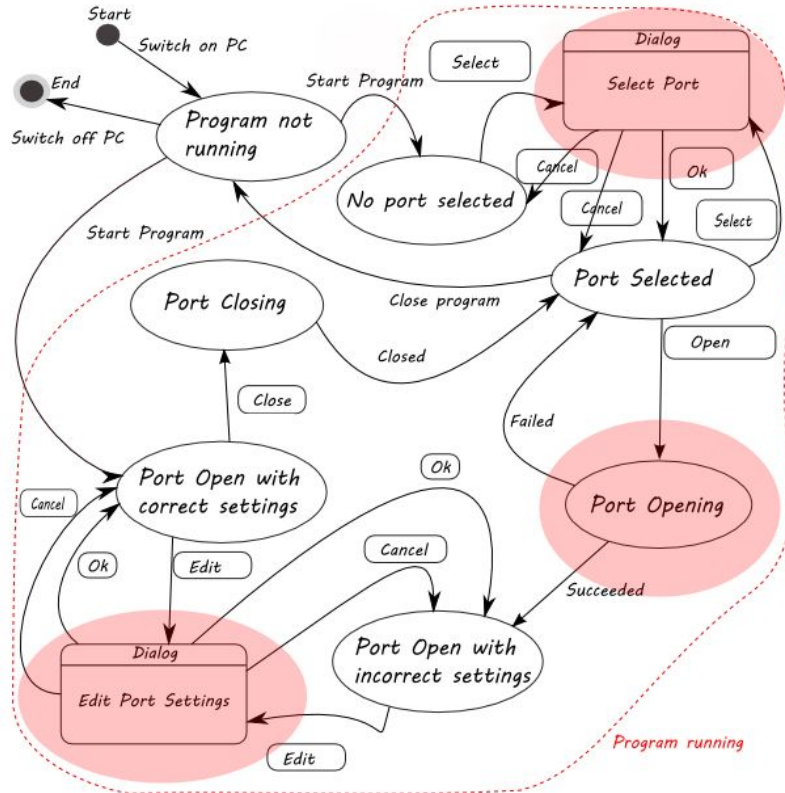
The link with **Turing Machines** will make this clear.

Turing Machines



Traditionally represented like above but, arguably, we lose all programmatic intuition with this representation!

Turing Machines



“This is a Python program”

Turing Machines

A Turing machine is a primitive (ideal) **computer architecture** together with a primitive **programming language**.

Turing Machines

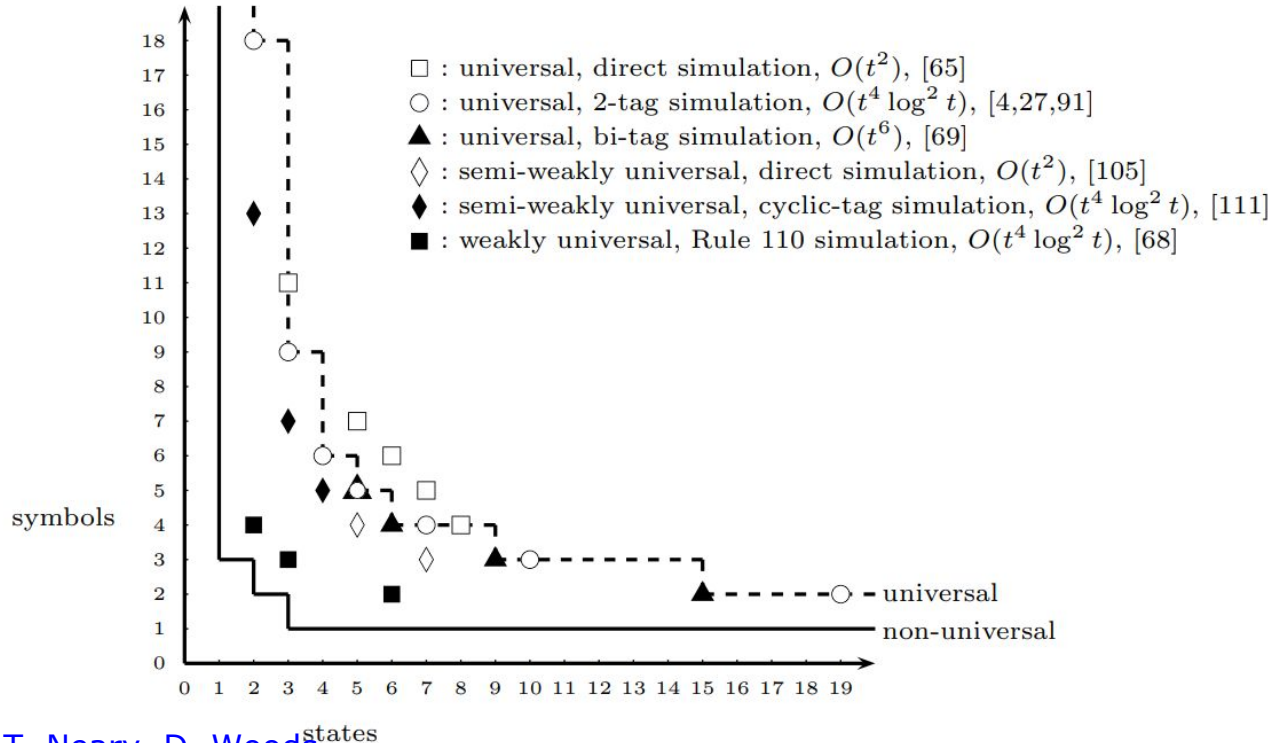


<https://github.com/tcosmo/alang>

Two major properties

1. There exists Turing machines that can compute **anything**: they are called Universal Turing machines.
2. There exists functions that no Turing machine can compute.

Universal Turing Machines



6 instructions and 6 symbols is all it takes!

T. Neary, D. Woods.

The complexity of small universal Turing machines: A survey. SOFSEM 2012.

<https://arxiv.org/abs/1110.2230>

Uncomputable Functions

- We say that a function $f: \mathbb{N} \rightarrow \mathbb{N}$, is **computable** if there is a Turing machine such that, starting with 'x' on its tape will compute 'f(x)' and write it on its tape.
- The set of all Turing machines is **countable**.
- The set of all functions $f: \mathbb{N} \rightarrow \mathbb{N}$ is **not countable**.
- Therefore, there must exist functions that cannot be computed.

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- Therefore, there must exist functions that cannot be computed.

Can we exhibit one?

The Halting Problem, Alan Turing, 1936

Is there a program `Halt` such that:

- $\text{Halt}(M,i) = 1$ iff program M halts on i
- $\text{Halt}(M,i) = 0$ otherwise

The Halting Problem, Alan Turing, 1936

Is there a program `Halt` such that:

- $\text{Halt}(M,i) = 1$ iff program M halts on i
- $\text{Halt}(M,i) = 0$ otherwise

For instance we have:

$\text{Halt}(\text{CopyMachine}, '00101') = 1$

$\text{Halt}(\text{WhileTrue}, '0') = 0$

...

The Halting Problem, Alan Turing, 1936

Let suppose that `Halt` exists.

Then let's build a new program Contradiction that takes as input a program M:

```
Contradiction(M):  
    if Halt(M,M):  
        while true:  
            continue  
    else:  
        return
```

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Does `Contradiction(Contradiction)` halt?

- If it halts, it does not halt
- If it does not halt, it halts

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Contradiction!!

`Halt` does not exist

Making the link with mathematical knowledge

What is a proof?

- A finite object
- Which starts from **axioms** and applies rules of logic
- In order to reach a logically valid conclusion

Making the link with mathematical knowledge

What are axioms?

Robinson's axioms of arithmetic

1. $(\forall x)\neg Sx = 0.$
2. $(\forall x)(\forall y)[Sx = Sy \rightarrow x = y].$
3. $(\forall x)x + 0 = x.$
4. $(\forall x)(\forall y)x + Sy = S(x + y).$
5. $(\forall x)x \cdot 0 = 0.$
6. $(\forall x)(\forall y)x \cdot Sy = (x \cdot y) + x.$

The language of arithmetic is:

- The symbol 0
- The successor function S
- The addition function +
- The multiplication function \times
- The order relation $<$

Example: The number “1” is represented by S0, the number “2” is represented by SS0, etc..

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The axioms say that:

1. $x + 1 = 0$ has no solution in \mathbb{N}
2. $x + 1 = y + 1 \Rightarrow x = y$
3. $x + 0 = x$
4. $x + (y+1) = (x+y) + 1$
5. $x * 0 = 0$
6. $x * (y+1) = (x*y) + x$

Making the link with mathematical knowledge

A proof that $1+1 = 2$

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$$(\forall x)(\forall y)x + Sy = S(x + y) \quad \text{(axiom 4)}$$

$$S0 + S0 = S(S0 + 0) \quad \text{(instantiation)}$$

$$(\forall x)x + 0 = x \quad \text{(axiom 3)}$$

$$S0 + 0 = S0 \quad \text{(instantiation)}$$

$$S0 + S0 = SS0 \quad \text{(replacement)}$$

Making the link with mathematical knowledge

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$$\mathbf{1 + 1 = 2!!}$$

Axioms *describe*

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Axioms and proofs are part of
Knowledge

N

Mathematical objects are part of
Reality

Axioms *describe*

- A tree is tall
- A tree's foliage is green
- A tree's trunk is brown

Axioms and proofs are part of
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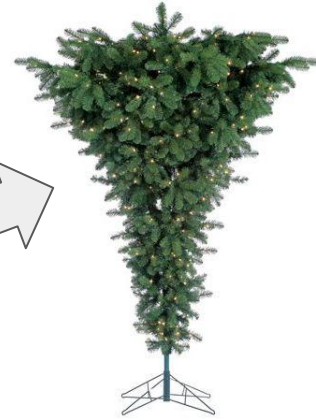
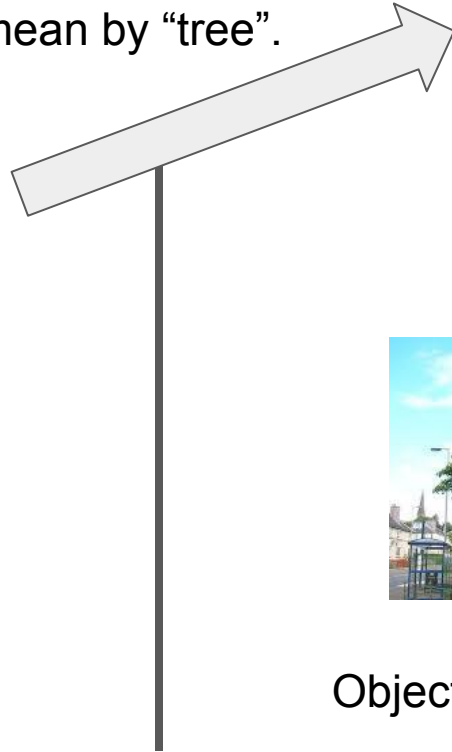
Objects are part of **Reality**

Axioms *describe*

- A tree is tall
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Axioms and proofs are part of
Knowledge

1) We can end up
describing things
which are not what
we mean by "tree".



Objects are part of **Reality**

Axioms *describe*

- A tree is tall
- A tree's foliage is green
- A tree's trunk is brown

2) There are some properties about trees that we won't be able to deduce from our primitive description.

Axioms and proofs are part of **Knowledge**

1) We can end up describing things which are not what we mean by "tree".



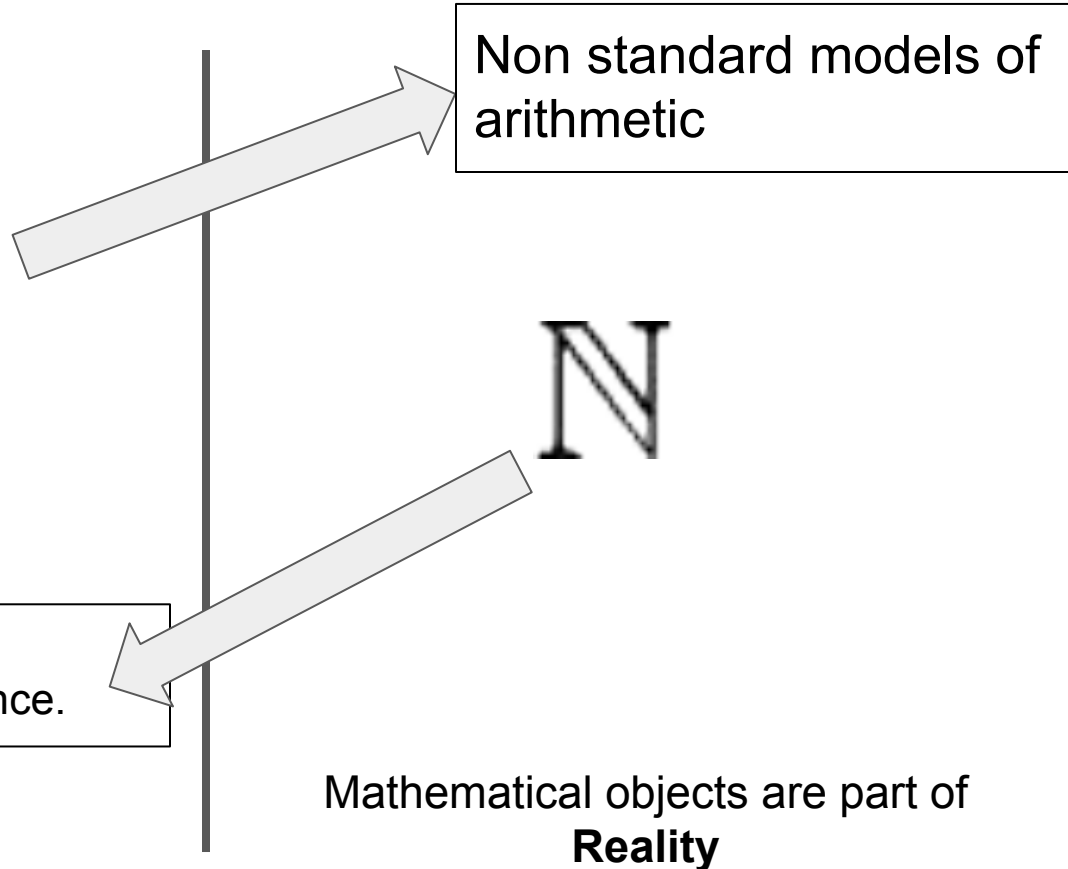
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Undecidable statements, here the commutativity of addition for instance.

Axioms and proofs are part of
Knowledge



Mathematical objects are part of
Reality

Simulating Turing machines with numbers

The parity machine:

- Takes a binary input
- Has three states {even, odd, halt}
- Decides if the number of 1s in the input is odd or even

Parity:


even:	odd:	halt:
if read(0):	if read(0):	Halt
goto even	goto odd	
if read(1):	if read(1):	
goto odd	goto even	
if read(#):	if read(#):	
goto halt	goto halt	

Simulating Turing machines with numbers

Primes Encoding: $2^{\text{instruction number}}$ $3^{\text{head position}}$ 5^{tape_0} 7^{tape_1} 11^{tape_2} ...

Example of a valid **trace** starting on input `010`:

$$x_0 = 2^0 3^0 5^0 7^1 11^0 13^2 17^0 19^0 \dots$$


Head

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↑
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$$x_4 = 2^2 3^3 5^0 7^1 11^0 13^2 17^0 19^0 \dots$$

 **Halt!**

Simulating Turing machines with numbers

Primes Encoding: $2^{\text{instruction number}}$ $3^{\text{head position}}$ 5^{tape_0} 7^{tape_1} 11^{tape_2} ...

Example of a valid **trace** starting on input ``010``:

Robinson's arithmetic
is powerful enough to
"check" all these steps!

$$\begin{aligned}x_0 &= 2^0 3^0 5^0 7^1 11^0 13^2 17^0 19^0 \dots \\x_1 &= 2^0 3^1 5^0 7^1 11^0 13^2 17^0 19^0 \dots \\x_2 &= 2^1 3^2 5^0 7^1 11^0 13^2 17^0 19^0 \dots \\x_3 &= 2^1 3^3 5^0 7^1 11^0 13^2 17^0 19^0 \dots \\x_4 &= 2^2 3^3 5^0 7^1 11^0 13^2 17^0 19^0 \dots\end{aligned}$$

Halt!

Simulating Turing machines with numbers

Example of a valid **trace** for the parity machine starting on input **010**:

$$\begin{aligned}x_0 &= 2^0 3^0 5^0 7^1 11^0 13^2 17^0 19^0 \dots \\x_1 &= 2^0 3^1 5^0 7^1 11^0 13^2 17^0 19^0 \dots \\x_2 &= 2^1 3^2 5^0 7^1 11^0 13^2 17^0 19^0 \dots \\x_3 &= 2^1 3^3 5^0 7^1 11^0 13^2 17^0 19^0 \dots \\x_4 &= 2^2 3^3 5^0 7^1 11^0 13^2 17^0 19^0 \dots\end{aligned}$$

We can formulate the Halting problem of our parity solving machine in the language of arithmetic:

$$\begin{aligned}\text{doesParityMachineHalt}(i) &\equiv \exists k \exists x_0, \dots, x_k \\ &\text{isTapeContentMatching}(x_0, i) \\ &\wedge (\forall i < k) \text{isValidParityMachineTransition}(x_i, x_{i+1}) \\ &\wedge \text{hasParityMachineHalted}(x_k)\end{aligned}$$

Simulating Turing machines with numbers

We can formulate the Halting problem of **any machine** in the language of arithmetic:

$$\begin{aligned} \text{doesMachineHalt}(M, i) \equiv & \exists k \exists x_0, \dots, x_k \\ & \text{isTapeContentMatching}(x_0, i) \\ & \wedge (\forall i < k) \text{isValidMachineTransition}(M, x_i, x_{i+1}) \\ & \wedge \text{hasMachineHalted}(M, x_k) \end{aligned}$$

Theorem

The machine `M` halts on input `i` if and only if there is a proof of the statement 'doesMachineHalt(M,i)' from Robison's axioms.

Back to the Halting Problem

Theorem

The machine `M` halts on input `i` if and only if there is a proof of the statement 'doesMachineHalt(M,i)' from Robison's axioms.

If any true statement was provable using Robison's axioms, we could solve the Halting problem:

- Enumerate all proofs that use Robison's axioms until either:
 - You find a proof that concludes `doesMachineHalt(M,i)` return true
 - You find a proof that concludes `not doesMachineHalt(M,i)` return false

That **contradicts** the uncomputability of the Halting Problem!

Hence, there must exist a statement about the natural numbers that is **true** but that **we cannot prove** using Robison's axioms!

First Incompleteness Theorem

First Incompleteness Theorem (Kurt Gödel, 1931)

*For any **consistent** and **computable** set of axioms A expressed in the language of arithmetic, there exists a statement that is true in the natural numbers but that cannot be proved from this set of axioms.*

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- If A is weaker than Robinson's axioms, i.e. there is a Robinson axiom it cannot prove, just take that statement as the unprovable true statement.
- If A is stronger than Robinson's axioms:
 - You have enough logic to run Turing machines
 - Because A is **computable** you still can enumerate all proofs from A

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First Incompleteness Theorem

Non constructively we have reached the conclusion that, for any computable set of axioms A stronger than Robinson:

If A is consistent then, there exists a machine M and input i such that neither statements can be proven from A :

- $\text{`doesMachineHalt}(M,i)\text{`}$, meaning “The machine M halts on input i ”
- $\text{`not doesMachineHalt}(M,i)\text{`}$ meaning “The machine M does not halt on input i ”

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BUT

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- $\text{doesMachineHalt}(M,i)$
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BUT, $\text{doesMachineHalt}(M,i)$ is an existential-only statement !

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Non constructively we have reached the conclusion that, for any computable set of axioms A stronger than Robinson:

If A is consistent then, there exists a machine M and input i such that neither statements can be proven from A :

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Conclusion: the set of axioms A cannot prove its own consistency!

Second Incompleteness Theorem

Second Incompleteness Theorem (Kurt Gödel, 1931)

*For any **consistent** and **computable** set of axioms A expressed in the language of arithmetic, which is at least as strong as **Peano's axioms** then the following statement is not provable in A :*

$$\neg \text{isTheoremUsingAxiomsA}(0 = S0)$$

Peano's axioms = Robinson's axioms + induction

Conclusion: you cannot prove that it is not possible to prove $0 = 1$ from Peano's axiom if you limit yourself to using Peano's axioms.

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You can prove Peano's consistency using ZFC axioms. But you won't prove ZFC's. Etc...

Incomprehensible Machines

- A machine that iterates all proofs in Peano/ZFC and halts if and only if it finds a proof of $0 = 1$
 - People have actually built such a machine: 7,910 instructions
- There is a 27-instruction Turing machine that halts iff Goldbach Conjecture is true
- There is a 744-instruction Turing machine that halts iff Riemann Hypothesis is true

A. Yedidia and S. Aaronson

A Relatively Small Turing Machine Whose Behavior Is Independent of Set Theory.

<https://arxiv.org/abs/1605.04343>

S. Aaronson

The Busy Beaver frontier. <https://www.scottaaronson.com/papers/bb.pdf>

Incomprehensible Machines

- Scott Aaronson conjectures:
 - There is a 10-instruction Turing machine whose halting problem is independent of Peano's axioms
 - There is a 20-instruction Turing machine whose halting problem is independent of ZFC's axioms

Good contenders are Collatz-like:

$$g(x) := \begin{cases} \frac{5x+18}{3} & \text{if } x \equiv 0 \pmod{3} \\ \frac{5x+22}{3} & \text{if } x \equiv 1 \pmod{3} \\ \perp & \text{if } x \equiv 2 \pmod{3} \end{cases}$$

H. Marxen and J. Buntrock. *Attacking the Busy Beaver 5*. 1990. EATCS.

Incomprehensible Machines

```
import itertools

def Collatz(x):
    if x%2 == 0:
        return x//2
    return 3*x + 1

def apply_Collatz_function(x,n_times):
    for _ in range(n_times):
        x = Collatz(x)
    return x

n = 1
isRunning = True
while isRunning:
    for binary_string in itertools.product(["0", "1"], repeat=n):
        starting_point = int("".join(binary_string), 2)
        ending_point = apply_Collatz_function(starting_point, len(binary_string))

        if starting_point == ending_point and starting_point not in [0,1,2,4]:
            isRunning = False

    n += 1
```

Questions :)?



Alan Turing



Kurt Gödel