

Small fast universal Turing machines

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Abstract

We present deterministic polynomial time universal Turing machines with state-symbol pairs of (3, 11), (5, 7), (6, 6), (7, 5) and (8, 4). These are the smallest known universal Turing machines that simulate Turing machines in polynomial time.

Key words: universality, small universal Turing machine, computational complexity, polynomial time.

1 Introduction

Shannon [1] first posed the question of finding the smallest possible universal Turing machine (UTM). Initially small UTMs were constructed that directly simulated Turing machines (TMs) [2,3]. Subsequently the technique of indirect simulation via other universal models was successfully applied. In the early 1960s Minsky [4] created a 7-state, 4-symbol machine that simulates 2-tag systems. Minsky's technique was more recently used by Rogozhin et al. to create the smallest known UTMs.

Let $UTM(m, n)$ be the class of deterministic UTMs with m states and n symbols. Rogozhin [5] constructed UTMs in the classes $UTM(24, 2)$, $UTM(10, 3)$, $UTM(7, 4)$, $UTM(5, 5)$, $UTM(4, 6)$, $UTM(3, 10)$ and $UTM(2, 18)$, Kudlek and Rogozhin [6] constructed a machine in $UTM(3, 9)$, and Baiocchi [7] constructed

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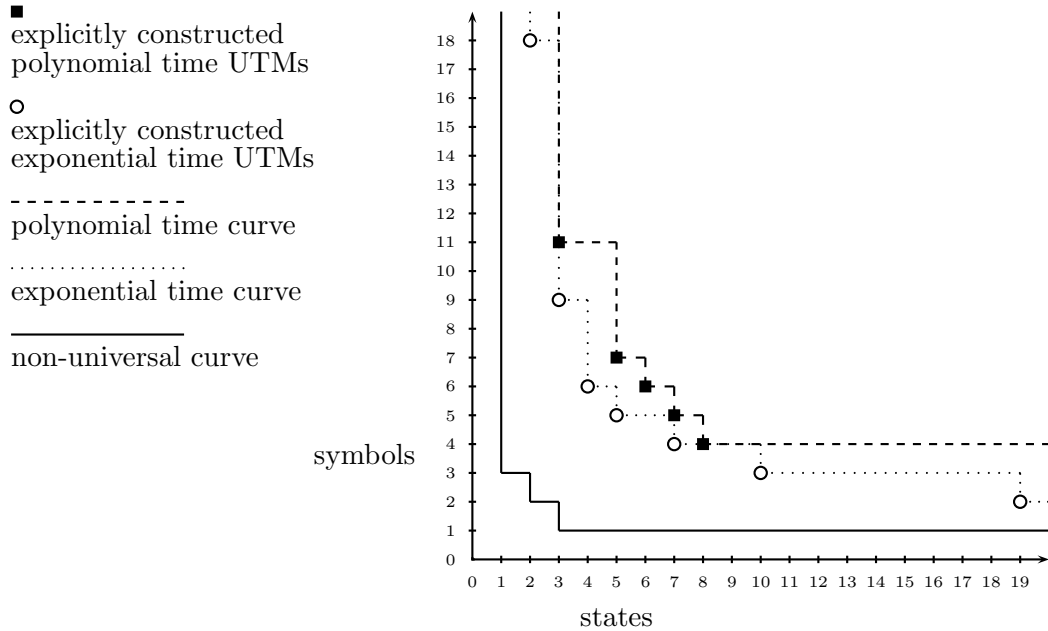


Fig. 1. State-symbol plot of small UTMs. The plot shows the polynomial time curve induced by our machines, Rogozhin et al.'s exponential time curve, and the current non-universal TM curve. A polynomial time UTM exists for each state-symbol pair that is on, above, and to the right of the polynomial time curve.

UTMs in $UTM(19, 2)$ and $UTM(7, 4)$. In terms of the number of transition rules (TRs), Baiocchi's $UTM(7, 4)$ machine is the smallest with only 25 TRs.

Due to their unary encoding of the TM tape contents, 2-tag systems are exponentially slow simulators of TMs [8]. It is unknown if 2-tag systems simulate TMs in polynomial time. Hence the UTMs of Minsky, Rogozhin, Kudlek and Baiocchi all suffer from an exponential time complexity overhead. Fig. 1 is a state-symbol plot, here we see that these machines induce a curve which we call the exponential time curve. It is known that the following classes are empty: $UTM(2, 2)$ [9,10], $UTM(3, 2)$ [11], $UTM(2, 3)$ (Pavlotskaya, unpublished), $UTM(1, n)$ [12] and $UTM(n, 1)$ (trivial) for $n \geq 1$. These results induce the non-universal curve in Fig. 1.

Our main result states that there exists deterministic polynomial time UTMs in the classes $UTM(3, 11)$, $UTM(5, 7)$, $UTM(6, 6)$, $UTM(7, 5)$ and $UTM(8, 4)$. Fig. 1 illustrates the polynomial time curve that is induced by our result. It follows immediately that there exists polynomial time UTMs for each state-symbol pair that is on, above, and to the right of the polynomial time curve in Fig. 1. It is interesting to note that in some places our polynomial time curve actually intersects the exponential time curve. Also it should be noted that our UTMs are the smallest that directly simulate TMs.

Before our work the most recent small polynomial time UTM was constructed

by Watanabe [3] in 1961 and is in the class $UTM(8, 5)$. Subsequent efforts to construct smaller UTMs have used the (exponentially slow) technique of simulation via 2-tag systems. Our results offer a significant improvement over Watanabe's 1961 machine; our machines are significantly smaller and represent a new algorithm for small UTMs.

In Section 2 we give some definitions used to encode input to our UTMs and an overview of our simulation algorithm. In Section 3 we give a machine in the class $UTM(3, 11)$. We explain its input encoding and computation in some detail. Section 4 contains a proof of correctness which proves that this UTM simulates TMs in polynomial time. In Section 5 our algorithm is extended to UTMs with a number of other state-symbol products and finally a conclusion is given.

2 Preliminaries

At the beginning of this section we establish some formal conventions. We then introduce some general encodings that each of our five machines adhere to. We also give an overview of our simulation algorithm. Each UTM uses a variation on this algorithm.

We refer the reader to Rogozhin [5] for a definition of *UTM* and a definition of *simulation between TMs*. In both of these definitions the encoding and decoding functions are recursive, our UTMs satisfy this requirement. Even stronger, the encoding and decoding functions that we use are polynomial time (in fact logspace) computable. Clearly the property of polynomial time encoding and decoding is a necessary requirement for UTMs that simulate in polynomial time. We cite van Emde Boas [13] for a definition of *polynomial time simulation*.

2.1 TMs

We consider deterministic TMs with a single one-way infinite tape and a single tape head [14]. A TM is a tuple $M = (Q, \Sigma, B, f, q_1, H)$. Here Q and Σ are the finite sets of states and tape symbols respectively. $B \in \Sigma$ is the blank symbol, $q_1 \in Q$ is the start state, and $H \subseteq Q$ is the set of halt states. The transition function $f : Q \times \Sigma \rightarrow \Sigma \times \{L, R\} \times Q$ is defined for all $q \in Q - H$. If $q \in H$ then the function f is undefined on at least one element of $q \times \Sigma$. We write f as a list of TRs. Each TR is a quintuple $t = (q_x, \sigma_1, \sigma_2, D, q_y)$, with initial state q_x , read symbol σ_1 , write symbol σ_2 , move direction D and next state q_y .

Throughout the paper U denotes a UTM and for some $m, n \in \mathbb{N}$, $U_{m,n}$ denotes our UTM in class $\text{UTM}(m, n)$. We let M always denote a TM that is to be simulated by some U . The encoding of M as a word is denoted \widehat{M} . Analogously the encodings of state q and tape symbol σ are denoted \widehat{q} and $\widehat{\sigma}$ respectively. For convenience we often call the word \widehat{q} a *state* of \widehat{M} . We let \mathbb{N} denote the set of nonnegative integers. In regular expressions \cup , $*$, ϵ and parentheses have their usual meanings [14].

2.2 Input encodings for UTMs

Without loss of generality, any simulated TM M has the following restrictions: (i) M 's tape alphabet is $\Sigma = \{0, 1\}$ and 0 is the blank symbol, (ii) for all $q_i \in Q$, i satisfies $1 \leq i \leq |Q|$, (iii) f is always defined, (iv) M 's start state is q_1 , (v) M has exactly one halt state $q_{|Q|}$ and its transition rules are of the form $(q_{|Q|}, 0, 0, L, q_{|Q|})$ and $(q_{|Q|}, 1, 1, L, q_{|Q|})$. Point (v) is a well-known halting technique that places the tape head at the beginning of the output. The following definitions encode M .

Definition 1 (Encoding of M 's tape symbols) *The binary tape symbols 0 and 1 of M are encoded as the words $\widehat{0} = \overleftarrow{a}\overleftarrow{a}$ and $\widehat{1} = \overleftarrow{b}\overleftarrow{a}$.*

Each of our five UTMs has the symbols \overleftarrow{a} , \overleftarrow{b} and λ as part of its tape alphabet. The symbols \overleftarrow{a} and \overleftarrow{b} are typically used to encode M 's tape contents while λ is usually used as a marker symbol.

Definition 2 (Encoding of M 's initial configuration) *The encoding of an initial configuration of M is of the form*

$$\widehat{M}\widehat{q}_1\widehat{w}\overleftarrow{a}^\omega$$

where \widehat{q}_1 is start state of \widehat{M} , $\widehat{w} \in \{\overleftarrow{a}\overleftarrow{a}, \overleftarrow{b}\overleftarrow{a}\}^*$ is the encoding of the input to M that is given by Definition 1, $\overleftarrow{a}^\omega = \overleftarrow{a}\overleftarrow{a}\overleftarrow{a} \dots$, and \widehat{M} is the encoding of M :

$$\widehat{M} = \lambda\mathcal{P}(f, q_{|Q|})\lambda\mathcal{P}(f, q_{|Q|-1})\lambda \dots \lambda\mathcal{P}(f, q_2)\lambda\mathcal{P}(f, q_1)\lambda E \quad (1)$$

where the function \mathcal{P} is defined below in Equation (2), and the word $E \in \{\epsilon, e, \overleftarrow{a}, \lambda\overleftarrow{b}\lambda\overleftarrow{a}, \overleftarrow{b}\overleftarrow{b}\overleftarrow{b}\lambda\overleftarrow{a}\}$ specifies the ending.

The initial position of U 's tape head is at the leftmost symbol of \widehat{q}_1 .

In the previous definition the encoding of M is placed to the left of its encoded input. The initial position of M 's simulated tape head is indicated by the word \widehat{q}_1 and is immediately to the left of the leftmost encoded input symbol. The

remainder of the infinite tape of U contains the blank symbol \overleftarrow{a} . The ending E varies over the five UTMs that we present.

The encoding of M 's TRs is defined using the function \mathcal{P} that specifies the relative *positions* of encoded TRs for a given state q_i .

$$\mathcal{P}(f, q_i) = \mathcal{E}(t_{i,1})\lambda\mathcal{E}(t_{i,0})\lambda\mathcal{E}(t_{i,0})\lambda\mathcal{E}(t_{i,1})\lambda\mathcal{E}'(f, t_{i,0}) \quad (2)$$

The encoding functions \mathcal{E} and \mathcal{E}' map TRs to words called ETRs. There is a unique pair of \mathcal{E} and \mathcal{E}' functions for each of our five UTMs. Given what we have so far, we need only to give \mathcal{E} , \mathcal{E}' and \hat{q}_1 to completely define the input to our UTMs. These functions are given before each UTM.

2.3 UTM algorithm overview

In order to distinguish the current state q_x of a simulated TM M , the earliest small UTMs [2,3] maintained a list of all states with a marker at q_x . A change in M 's current state is simulated by moving the marker to another location in the list of states. The most significant difference between these earlier UTMs and our algorithm is that we store the encoded current state of M on M 's simulated tape at the location of M 's tape head. Thus the encoded current state also records the current location of M 's tape head during the simulation. This point is illustrated in Fig. 2.

The problem of constructing a UTM can be divided into the following basic steps. The UTM (1) reads the encoded current state and (2) reads the encoded read symbol. Next the UTM (3) prints the encoded write symbol, (4) moves the simulated tape head and (5) establishes the new encoded current state. Due to the location of the encoded current state and the encodings that we use for our UTMs, the sets $\{(1), (2)\}$ and $\{(3), (4), (5)\}$ each become a single process. Steps (1) and (2) are combined such that a single set of transition rules read both the encoded current state and the encoded read symbol. Steps (3), (4) and (5) have been similarly combined. Combining these steps has reduced the number of transition rules needed by our UTMs.

Here we give a brief description of the simulation algorithm. The encoded current state of M is positioned at the simulated tape head location of M . Using a unary indexing method, U locates the next ETR to execute. The next ETR is indexed (pointed to) by the number of \overleftarrow{b} symbols contained in the encoded current state and read symbol. If the number of \overleftarrow{b} symbols in the encoded current state and encoded read symbol is i then the number of λ markers between the encoded current state and the next ETR to be executed is $i - 1$. To locate the next ETR, U simply neutralises the rightmost λ (i.e. replaces λ with some other symbol) for each \overleftarrow{b} in the encoded current state and read

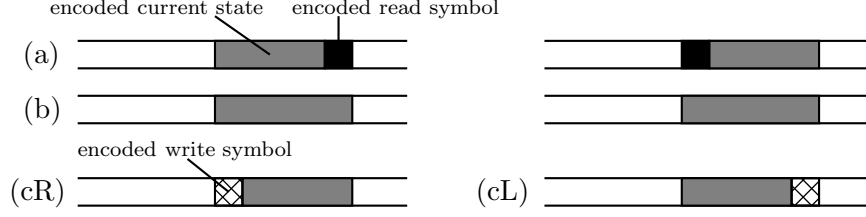


Fig. 2. Right and left moving transition rule simulations. The encoded current state marks the location of M 's simulated tape head. (a) Encoded configurations before beginning each TR simulation. (b) Intermediate configurations immediately after the encoded read symbol and encoded current state have been read. (cR) Configuration immediately after the simulated right move. (cL) Configuration immediately after the simulated left move.

symbol, until there is only one \overleftarrow{b} remaining. This indexed ETR is printed over the encoded current state and read symbol. This printing completes the execution of the ETR and establishes the new encoded current state, encoded write symbol and simulated tape head move. Fig. 2(b) represents the tape contents of U after an ETR of \widehat{M} is indexed. Figs. 2(cR) and 2(cL) represent the two possibilities for U 's tape contents after an ETR is printed. To give more details we present the algorithm as four cycles.

Cycle 1 (Index next ETR) In this cycle U reads the encoded current state and encoded read symbol and neutralises markers to index the next ETR. Initially U 's tape head scans to the right until it reads a \overleftarrow{b} . This \overleftarrow{b} is replaced with some other symbol. U 's tape head then scans left to neutralise a λ marker. This process is repeated until U reads the subword $\overleftarrow{b}\overleftarrow{a}$ while scanning right. This signals that the encoded current state and encoded read symbol have been read. Cycle 1 is now complete and Cycle 2 begins.

Cycle 2 (Print ETR) Cycle 2 copies an ETR to M 's simulated tape head location. U scans left and records the next symbol of the ETR to be printed. U then scans right and prints the next symbol of the ETR at a location specified by a marker. The location of this marker is initially set at the end of Cycle 1 and its location is updated after the printing of each symbol of the ETR. This process is repeated until the end of the ETR is detected causing U to enter Cycle 3. The end of the ETR is detected by U encountering the marker or neutralised marker that separates ETRs.

Cycle 3 (Restore tape) Cycle 3 restores M 's encoded table of behaviour after an ETR has been indexed and printed. U scans right restoring \widehat{M} to its initial value. This Cycle ends when U encounters the marker which was used in Cycle 2 to specify the position of the next symbol of the ETR to be printed. U then enters Cycle 4.

Cycle 4 (Choose read or write symbol) This cycle either (i) begins the

indexing of an ETR or (ii) completes the execution of an ETR. More precisely: (i) if U is immediately after simulating a left move then this cycle reads the encoded read symbol to the left of the encoded current state, (ii) if U is simulating a right move then this cycle prints the encoded write symbol to the left of the encoded current state. On completion of either case Cycle 1 is entered.

3 Construction of $U_{3,11}$

Our first machine is in the class $UTM(3, 11)$ and is denoted $U_{3,11}$. As usual we let M be a TM that is simulated by $U_{3,11}$.

Definition 3 (Encoding of start state of \widehat{M} for $U_{3,11}$) *The start state of \widehat{M} is $\widehat{q}_1 = \overleftarrow{a}^{5|Q|} \overleftarrow{b}^2$.*

Recall that \widehat{M} is the encoding of M and is defined via the functions \mathcal{E} and \mathcal{E}' . These encoding functions map to words over the alphabet of $U_{3,11}$, as defined in Equations (3) and (4). We denote the words defined by \mathcal{E} and \mathcal{E}' with the acronyms ETR and ETR' respectively.

We use a shorthand notation for TRs. We let $t_{i,\sigma_1} = (q_i, \sigma_1, \sigma_2, D, q_y)$, that is t_{i,σ_1} denotes the unique TR in M with initial state q_i and read symbol σ_1 . Also $t^{R,i} = (q_x, \sigma_1, \sigma_2, R, q_i)$ and $t^{L,i} = (q_x, \sigma_1, \sigma_2, L, q_i)$; we write $\exists t^{R,i}$ to mean that there exists a TR which moves right and has q_i as its next state (there are zero or more such TRs).

Let $t = (q_x, \sigma_1, \sigma_2, D, q_y)$ be a fixed TR in M , then t is encoded via Equation (2) using the function \mathcal{E} on its own, or in conjunction with \mathcal{E}' , where

$$\mathcal{E}(t) = \begin{cases} e^{a(t)} h^{b(t)} & \text{if } D = R, \sigma_2 = 0 \\ h e^{a(t)} h^{b(t)} & \text{if } D = R, \sigma_2 = 1 \\ e^{a(t)-1} h^{b(t)} e e e & \text{if } D = L, \sigma_2 = 0 \\ e^{a(t)-1} h^{b(t)} e h e & \text{if } D = L, \sigma_2 = 1 \end{cases} \quad (3)$$

and

$$\mathcal{E}'(f, t) = \begin{cases} e^{a(t^{R,x})-3} h^{b(t^{R,x})+2} & \text{if } \exists t^{R,x}, q_x \neq q_1 \\ \epsilon & \text{if } \nexists t^{R,x}, q_x \neq q_1 \\ e^{5|Q|-3} h^4 & \text{if } q_x = q_1 \end{cases} \quad (4)$$

where as before $t^{R,x}$ is any right moving TR such that $t^{R,x} \vdash t$, the functions $a(\cdot)$ and $b(\cdot)$ are defined by Equations (5) and (6), e and h are tape symbols,

and ϵ is the empty word.

$$a(t) = 5|Q| + 2 - b(t) \quad (5)$$

$$b(t) = 2 + \sum_{j=1}^y g(t, j) \quad (6)$$

where $g(\cdot)$ is given by

$$g(t, j) = \begin{cases} 5 & \text{if } j < y \\ 3 & \text{if } D = L, j = y \\ 0 & \text{if } D = R, j = y \end{cases} \quad (7)$$

Definition 4 (Encoding of M 's current state for $U_{3,11}$) *The encoding of M 's current state is of the form $\overleftarrow{a}^* \overleftarrow{b}^2 \overleftarrow{b}^* \{\overleftarrow{a} \cup \epsilon\}$ and is of length $5|Q| + 2$.*

The value of the ending E , from Equation (1), for $U_{3,11}$ is $E = e$.

Example 1 (Encoding of M_1 for $U_{3,11}$) Let TM $M_1 = (\{q_1, q_2, q_3\}, \{0, 1\}, 0, f, q_1, \{q_3\})$ where $f = \{(q_1, 0, 1, R, q_2), (q_1, 1, 0, R, q_1), (q_2, 0, 0, L, q_2), (q_2, 1, 1, L, q_3), (q_3, 0, 0, L, q_3), (q_3, 1, 1, L, q_3)\}$. Using Equation (1), M_1 is encoded as:

$$\widehat{M}_1 = \lambda\mathcal{P}(f, q_3)\lambda\mathcal{P}(f, q_2)\lambda\mathcal{P}(f, q_1)\lambda e$$

From Definition 3 the start state of \widehat{M}_1 is $\overleftarrow{a}^{15}\overleftarrow{b}^2$. Substituting the appropriate values from Equation (2) gives

$$\begin{aligned} \widehat{M}_1 = & \lambda\mathcal{E}(t_{3,1})\lambda\mathcal{E}(t_{3,0})\lambda\mathcal{E}(t_{3,0})\lambda\mathcal{E}(t_{3,1})\lambda\mathcal{E}'(f, t_{3,0}) \\ & \lambda\mathcal{E}(t_{2,1})\lambda\mathcal{E}(t_{2,0})\lambda\mathcal{E}(t_{2,0})\lambda\mathcal{E}(t_{2,1})\lambda\mathcal{E}'(f, t_{2,0}) \\ & \lambda\mathcal{E}(t_{1,1})\lambda\mathcal{E}(t_{1,0})\lambda\mathcal{E}(t_{1,0})\lambda\mathcal{E}(t_{1,1})\lambda\mathcal{E}'(f, t_{1,0})\lambda e \end{aligned}$$

Rewriting this using Equations (3) and (4) and the values given in Table 1 gives the word

$$\begin{aligned} \widehat{M}_1 = & \lambda e h^{15} e h e \lambda e h^{15} e e e \lambda e h^{15} e e e \lambda e h^{15} e h e \lambda e \lambda e h^{15} e h e \lambda e^6 h^{10} e e e \lambda \\ & e^6 h^{10} e e e \lambda e h^{15} e h e \lambda e^7 h^9 \lambda e^{15} h^2 \lambda h e^{10} h^7 \lambda h e^{10} h^7 \lambda e^{15} h^2 \lambda e^{12} h^4 \lambda e \end{aligned} \quad (8)$$

□

To aid understanding, note that a key property of \mathcal{P} from Equation (2) is that it creates five ETRs in \widehat{M} for each state in M . Hence five ETRs encode two TRs. This apparent redundancy is due to the algorithm used by our UTMs. When executing an ETR, the algorithm makes use of the *direction of the previous tape head movement* of M . The leftmost ETR given by Equation (2) simulates execution of TR $t_{i,1}$ following a simulated left move. The second ETR from the left simulates execution of TR $t_{i,0}$ following a simulated left

ETR	Transition Rule	$t^{R,x}$ for \mathcal{E}'	$b(t)$	$a(t)$	\mathcal{E}' or \mathcal{E}
$\mathcal{E}'(f, t_{1,0})$	$q_1, 0, 1, R, q_2$	$q_1, 1, 0, R, q_1$	$2 + 0 = 2$	15	$e^{12}h^4$
$\mathcal{E}(t_{1,0})$	$q_1, 0, 1, R, q_2$		$2 + 5 + 0 = 7$	10	$he^{10}h^7$
$\mathcal{E}(t_{1,1})$	$q_1, 1, 0, R, q_1$		$2 + 0 = 2$	15	$e^{15}h^2$
$\mathcal{E}'(f, t_{2,0})$	$q_2, 0, 0, L, q_2$	$q_1, 0, 1, R, q_2$	$2 + 5 + 0 = 7$	10	e^7h^9
$\mathcal{E}(t_{2,0})$	$q_2, 0, 0, L, q_2$		$2 + 5 + 3 = 10$	7	$e^6h^{10}eee$
$\mathcal{E}(t_{2,1})$	$q_2, 1, 1, L, q_3$		$2 + 5 + 5 + 3 = 15$	2	$eh^{15}ehe$
$\mathcal{E}'(f, t_{3,0})$	$q_3, 0, 0, L, q_3$	null	null	null	ϵ
$\mathcal{E}(t_{3,0})$	$q_3, 0, 0, L, q_3$		$2 + 5 + 5 + 3 = 15$	2	$eh^{15}eee$
$\mathcal{E}(t_{3,1})$	$q_3, 1, 1, L, q_3$		$2 + 5 + 5 + 3 = 15$	2	$eh^{15}ehe$

Table 1

Values for the $a(\cdot)$ and $b(\cdot)$ functions, and for each ETR of \widehat{M}_1 in Example 1 .

move. The rightmost ETR and the centre ETR are both used to simulate execution of TR $t_{i,0}$ following a simulated right move. Finally the second ETR from the right simulates execution of TR $t_{i,1}$ following a simulated right move.

In our simulation, the number of \overleftarrow{b} symbols in the encoded current state is used as a unary index to locate the *next* ETR to be executed. The function $b(\cdot)$ defined by Equation (6) gives the number of h symbols in an ETR. The number of h symbols in the ETR being executed defines the number of \overleftarrow{b} symbols in the *next* encoded current state \widehat{q}_y . The word $\mathcal{P}(f, q_y)$ gives the ETRs that encode the TRs for state q_y . Hence the next ETR to be indexed is a subword of $\mathcal{P}(f, q_y)$ and $b(\cdot)$ is a summation dependant on all encoded states \widehat{q}_j such that $j \leq y$. The function g defined by Equation (7) is used by $b(\cdot)$ to calculate the number of ETRs in each \widehat{q}_j . The first case of g corresponds exactly to the number of ETRs given in \mathcal{P} (Equation (2)). The final two cases of g define whether the encoded current state points to the rightmost ETR ($g = 0$) in the list of ETRs for a state, or to the fourth from the right ($g = 3$).

It is important to note that the input and output encodings for our UTMs are efficiently (logspace) computable. This is an important requirement for UTMs that simulate TMs efficiently. Recall that a logspace transducer [15] is a TM that has an read-only input tape, a work tape, and a write-only output tape, where only the space used by the work tape is considered. Definition 2 gives the encoding of an initial configuration of M . The transducer that computes this input encoding to $U_{3,11}$ takes M and w as input, where M is explicitly given as a word in some straightforward manner.

Lemma 1 *Given TM M as a word, and its input w , then there exists a logspace transducer that computes the input $\widehat{M}\widehat{q}_1\widehat{w}$ to $U_{3,11}$.*

PROOF. The input to $U_{3,11}$ is given by Definition 2. Space of $O(\log |M|)$ is sufficient to compute \widehat{M} and \widehat{q}_1 via Equations (1) to (7). Constant space is sufficient to compute \widehat{w} via Definition 1. \square

We state the lemma for $U_{3,11}$. However all five UTMs in this paper have logspace computable input encodings. The decoding of the output from $U_{3,11}$, and our four other UTMs, is computed by a linear time, constant space transducer via Definition 1.

3.1 $U_{3,11}$ and its computation

Definition 5 ($U_{3,11}$) *Let TM $U_{3,11} = (\{u_1, u_2, u_3\}, \{\overleftarrow{a}, \overleftarrow{b}, e, h, \overrightarrow{e}, \overrightarrow{h}, \overleftarrow{e}, \overleftarrow{h}, \lambda, \delta, \gamma\}, \overleftarrow{a}, f, u_1, \{u_3\})$ where f is given by the following transition rules.*

$u_1, \overleftarrow{a}, \overleftarrow{e}, R, u_1$	$u_2, \overleftarrow{a}, \gamma, L, u_2$	$u_3, \overleftarrow{a}, \overleftarrow{a}, L, u_3$
$u_1, \overleftarrow{b}, \overleftarrow{e}, R, u_2$	$u_2, \overleftarrow{b}, \overleftarrow{b}, L, u_1$	$u_3, \overleftarrow{b}, e, R, u_1$
$u_1, e, \overrightarrow{e}, L, u_1$	$u_2, e, \overleftarrow{e}, R, u_1$	u_3, e, e, R, u_1
$u_1, h, \overrightarrow{h}, L, u_1$	$u_2, h, \overleftarrow{h}, R, u_3$	$u_3, h, \overleftarrow{a}, L, u_1$
$u_1, \overrightarrow{e}, \overleftarrow{e}, R, u_1$	$u_2, \overrightarrow{e}, e, R, u_2$	$u_3, \overrightarrow{e}, \overleftarrow{e}, R, u_3$
$u_1, \overrightarrow{h}, \overleftarrow{h}, R, u_1$	$u_2, \overrightarrow{h}, h, R, u_2$	$u_3, \overrightarrow{h}, \overleftarrow{h}, R, u_3$
$u_1, \overleftarrow{e}, \overrightarrow{e}, L, u_1$	$u_2, \overleftarrow{e}, \overrightarrow{e}, L, u_2$	$u_3, \overleftarrow{e}, \gamma, L, u_2$
$u_1, \overleftarrow{h}, \overrightarrow{h}, L, u_1$	$u_2, \overleftarrow{h}, \overrightarrow{h}, L, u_2$	$u_3, \overleftarrow{h}, \overleftarrow{a}, L, u_3$
$u_1, \lambda, \delta, R, u_1$	$u_2, \lambda, \lambda, R, u_2$	$u_3, \lambda, \delta, R, u_3$
$u_1, \delta, \lambda, L, u_1$	$u_2, \delta, \lambda, L, u_2$	$u_3, \delta,$
$u_1, \gamma, \overleftarrow{a}, L, u_3$	$u_2, \gamma, \overleftarrow{h}, R, u_3$	$u_3, \gamma, \overleftarrow{b}, L, u_3$

We give an example of $U_{3,11}$ simulating a TR of M_1 from Example 1. This simulation is of the first step in M_1 's computation for a specific input. The example is presented as the 4 cycles given in Section 2.3. In the below configurations the current state of $U_{3,11}$ is highlighted in bold font, to the left of $U_{3,11}$'s tape contents. M_1 's encoded read and write symbols are also highlighted in bold font. The position of $U_{3,11}$'s tape head is given by an underline. In the sequel we use the term *overlined region*.

Definition 6 (Overlined region) *The overlined region exactly spans the encoded current state (has length $5|Q| + 2$), except on completion of reading an encoded read symbol (has length $5|Q| + 4$) until the next encoded current state is established.*

Example 2 ($U_{3,11}$'s simulation of TR $t_{1,1} = (q_1, 1, 0, R, q_1)$ from TM M_1)

The start state of $U_{3,11}$ is u_1 and the tape head of $U_{3,11}$ is over the leftmost symbol of \widehat{q}_1 (as in Definition 2). In this example M_1 's input is 101 (encoded via $\widehat{0} = \overleftarrow{a}\overleftarrow{a}$ and $\widehat{1} = \overleftarrow{b}\overleftarrow{a}$). \widehat{M}_1 is in start state \widehat{q}_1 with encoded read symbol $\widehat{1}$. Thus the initial configuration of U is:

$$u_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^3 \lambda e \overleftarrow{a} \overleftarrow{a}^{14} \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega$$

Cycle 1 (Index next ETR)

$u_1, \overleftarrow{a}, \overleftarrow{e}, R, u_1$	$u_2, \overleftarrow{a}, \gamma, L, u_2$	$u_1, e, \overrightarrow{e}, L, u_1$
$u_1, \overleftarrow{b}, \overleftarrow{e}, R, u_2$	$u_2, \overleftarrow{b}, \overleftarrow{b}, L, u_1$	$u_1, h, \overrightarrow{h}, L, u_1$
$u_1, \overrightarrow{e}, \overleftarrow{e}, R, u_1$		$u_1, \overleftarrow{e}, \overrightarrow{e}, L, u_1$
$u_1, \overrightarrow{h}, \overleftarrow{h}, R, u_1$		$u_1, \overleftarrow{h}, \overrightarrow{h}, L, u_1$
$u_1, \lambda, \delta, R, u_1$		$u_1, \lambda, \delta, R, u_1$
		$u_1, \delta, \lambda, L, u_1$

In Cycle 1 the leftmost block of TRs (above) reads the encoded current state. The rightmost block scans left and neutralises markers to index the next ETR. The middle block decides when the cycle is complete. $U_{3,11}$ scans the encoded current state from left to right in state u_1 ; each \overleftarrow{b} is replaced with an \overleftarrow{e} and $U_{3,11}$ then enters state u_2 to see if it is finished reading the encoded current state and encoded read symbol. $U_{3,11}$ is simulating TR $t_{1,1}$ which is encoded by $\mathcal{E}(t_{1,1})$. Hence we have replaced the shorthand notation \mathcal{E} with the word $e^{15}h^2$ defined by $\mathcal{E}(t_{1,1})$. The word $e^{15}h^2$ appears in the location defined by Equation (8). After the initial configuration we have:

$$u_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2 (\lambda\mathcal{E})^3 \lambda e^{15} h^2 \lambda \mathcal{E}' \lambda e \overleftarrow{e} \overleftarrow{a}^{14} \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega$$

$$u_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2 (\lambda\mathcal{E})^3 \lambda e^{15} h^2 \lambda \mathcal{E}' \lambda e \overleftarrow{e} \overleftarrow{e}^{14} \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega$$

$$u_2, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2 (\lambda\mathcal{E})^3 \lambda e^{15} h^2 \lambda \mathcal{E}' \lambda e \overleftarrow{e} \overleftarrow{e}^{14} \overleftarrow{e} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega$$

The leftmost \overleftarrow{b} is replaced with an \overleftarrow{e} . $U_{3,11}$ then moves right to test if it is finished reading the encoded current state. If not, $U_{3,11}$ reads another \overleftarrow{b} , then scans left in state u_1 and neutralises the rightmost λ marker.

$$u_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2 (\lambda\mathcal{E})^3 \lambda e^{15} h^2 \lambda \mathcal{E}' \lambda \overrightarrow{e} \overrightarrow{e}^{15} \overrightarrow{e} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega$$

$$u_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2 (\lambda\mathcal{E})^3 \lambda e^{15} h^2 \lambda \mathcal{E}' \lambda \overrightarrow{\delta} \overrightarrow{e} \overrightarrow{e}^{15} \overrightarrow{e} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega$$

Having neutralised a λ marker, $U_{3,11}$ scans right in state u_1 searching for the next \overleftarrow{b} .

$$u_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2 (\lambda\mathcal{E})^3 \lambda e^{15} h^2 \lambda \mathcal{E}' \lambda \overleftarrow{\delta} \overleftarrow{e} \overleftarrow{e}^{15} \overleftarrow{e} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega$$

The neutralisation process is repeated until the end of this cycle. Thus the number of \overleftarrow{b} symbols index the next ETR to be executed. $U_{3,11}$ is finished reading the encoded current state and read symbol when $U_{3,11}$ reads a \overleftarrow{b} in state u_1 , moves right to test for the end of the encoded current state and encoded read symbol, and reads an \overleftarrow{a} in state u_2 . In the configurations below when all the e and h symbols in an \mathcal{E}' or an \mathcal{E} are replaced with \overleftarrow{e} and \overleftarrow{h} symbols the resulting word is denoted $\overleftarrow{\mathcal{E}'}$ or $\overleftarrow{\mathcal{E}}$ respectively. Similarly when all the e and h symbols in an \mathcal{E}' or an \mathcal{E} are replaced with \overrightarrow{e} and \overrightarrow{h} symbols the resulting word is denoted $\overrightarrow{\mathcal{E}'}$ or $\overrightarrow{\mathcal{E}}$ respectively.

$$\begin{aligned}
\mathbf{u}_1, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h^2\delta\overleftarrow{\mathcal{E}'}\delta\overleftarrow{e}\overleftarrow{e}^{15}\overleftarrow{e}\overleftarrow{e}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \\
\mathbf{u}_2, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h^2\delta\overleftarrow{\mathcal{E}'}\delta\overleftarrow{e}\overleftarrow{e}^{15}\overleftarrow{e}\overleftarrow{e}\overleftarrow{e}\overleftarrow{a}\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \\
\mathbf{u}_2, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h^2\delta\overleftarrow{\mathcal{E}'}\delta\overleftarrow{e}\overleftarrow{e}^{15}\overleftarrow{e}\overleftarrow{e}\overleftarrow{e}\overleftarrow{\gamma}\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \tag{I}
\end{aligned}$$

In configuration (I) above $U_{3,11}$ has entered Cycle 2. Also, the overlined region is now extended to include the encoded read symbol as this has been read and thus recorded in the same manner as the encoded current state.

Cycle 2 (Print ETR)

$u_2, \overleftarrow{a}, \gamma, L, u_2$	$u_1, \overrightarrow{e}, \overleftarrow{e}, R, u_1$	$u_3, \overrightarrow{e}, \overleftarrow{e}, R, u_3$
$u_2, e, \overleftarrow{e}, R, u_1$	$u_1, \overrightarrow{h}, \overleftarrow{h}, R, u_1$	$u_3, \overrightarrow{h}, \overleftarrow{h}, R, u_3$
$u_2, h, \overleftarrow{h}, R, u_3$	$u_1, \lambda, \delta, R, u_1$	$u_3, \overleftarrow{e}, \gamma, L, u_2$
$u_2, \overleftarrow{e}, \overrightarrow{e}, L, u_2$	$u_1, \gamma, \overleftarrow{a}, L, u_3$	$u_3, \lambda, \delta, R, u_3$
$u_2, \overleftarrow{h}, \overrightarrow{h}, L, u_2$		$u_3, \gamma, \overleftarrow{b}, L, u_3$
$u_2, \lambda, \lambda, R, u_2$		
$u_2, \delta, \lambda, L, u_2$		

This cycle copies an ETR to \widehat{M} 's tape head position. The leftmost block scans left and records the next symbol of the ETR to be printed. The two right blocks scan right and print the appropriate symbol. In the configurations below, $U_{3,11}$ scans left until a h is read. Then $U_{3,11}$ moves right and records this h by entering u_3 .

$$\begin{aligned}
\mathbf{u}_2, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}hh\delta\overleftarrow{\mathcal{E}'}\delta\overleftarrow{e}\overleftarrow{e}^{17}\overleftarrow{e}\overleftarrow{\gamma}\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \\
\mathbf{u}_2, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h\overleftarrow{h}\lambda\overrightarrow{\mathcal{E}'}\lambda\overrightarrow{e}\overrightarrow{e}^{17}\overrightarrow{e}\overrightarrow{\gamma}\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \\
\mathbf{u}_3, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h\overleftarrow{h}\overrightarrow{\Delta}\overrightarrow{\mathcal{E}'}\lambda\overrightarrow{e}\overrightarrow{e}^{17}\overrightarrow{e}\overrightarrow{\gamma}\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega
\end{aligned}$$

$U_{3,11}$ now scans right until it reads a γ and prints the recorded symbol.

$$\mathbf{u}_3, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}\overleftarrow{h}\overleftarrow{h}\overleftarrow{\delta}\overleftarrow{\mathcal{E}'}\overleftarrow{\delta}\overleftarrow{e}\overleftarrow{e}^{17}\overleftarrow{e}\overleftarrow{\gamma}\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega$$

$$\mathbf{u}_3, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}\overleftarrow{h}\overleftarrow{h}\overleftarrow{\delta}\overleftarrow{\mathcal{E}'}\overleftarrow{\delta}\overleftarrow{e}\overleftarrow{e}^{17}\overleftarrow{e}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega$$

$$\mathbf{u}_2, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}\overleftarrow{h}\overleftarrow{h}\overleftarrow{\delta}\overleftarrow{\mathcal{E}'}\overleftarrow{\delta}\overleftarrow{e}\overleftarrow{e}^{17}\overleftarrow{\gamma}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega$$

This printing process is iterated until $U_{3,11}$ is finished printing the ETR. The completion of this process occurs on reading a λ in state u_2 .

$$\mathbf{u}_2, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda\overrightarrow{e}^{15}\overrightarrow{h}^2\overrightarrow{\lambda}\overrightarrow{\mathcal{E}'}\overrightarrow{\lambda}\overrightarrow{e}\overrightarrow{e}\overrightarrow{\gamma}\overleftarrow{a}^{15}\overleftarrow{b}^2\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega$$

$$\mathbf{u}_2, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda\overrightarrow{e}^{15}\overrightarrow{h}^2\overrightarrow{\lambda}\overrightarrow{\mathcal{E}'}\overrightarrow{\lambda}\overrightarrow{e}\overrightarrow{e}\overrightarrow{\gamma}\overleftarrow{a}^{15}\overleftarrow{b}^2\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega$$

Cycle 3 (Restore tape)

$$u_2, \overrightarrow{e}, e, R, u_2$$

$$u_2, \overrightarrow{h}, h, R, u_2$$

$$u_2, \lambda, \lambda, R, u_2$$

$$u_2, \gamma, \overleftarrow{h}, R, u_3$$

These TRs restore M 's simulated tape and encoded table of behaviour. This cycle is entered from Cycle 2 (Print ETR). In Cycle 3, $U_{3,11}$ moves right restoring each \overrightarrow{e} to e and each \overrightarrow{h} to h . This continues until $U_{3,11}$ reads γ , sending $U_{3,11}$'s control to u_3 . Thus the configuration:

$$\mathbf{u}_2, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h^2\lambda\mathcal{E}'\lambda e e \overleftarrow{\gamma}\overleftarrow{a}\overleftarrow{a}^{14}\overleftarrow{b}^2\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega$$

becomes:

$$\mathbf{u}_3, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h^2\lambda\mathcal{E}'\lambda e e \overleftarrow{h}\overleftarrow{a}\overleftarrow{a}^{14}\overleftarrow{b}^2\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega$$

Cycle 4 (Choose read or write symbol)

$$u_3, \overleftarrow{a}, \overleftarrow{a}, L, u_3$$

$$u_3, \overleftarrow{b}, e, R, u_1$$

$$u_3, e, e, R, u_1$$

$$u_3, h, \overleftarrow{a}, L, u_1$$

$$u_3, \overleftarrow{h}, \overleftarrow{a}, L, u_3$$

$$u_3, \lambda, \delta, R, u_3$$

$$u_3, \delta,$$

$$u_1, \overleftarrow{a}, \overleftarrow{e}, R, u_1$$

This cycle either (i) begins the indexing of an ETR or (ii) completes the execution of an ETR. More precisely: (i) if $U_{3,11}$ is immediately after simulating

a left move then this cycle reads the encoded read symbol to the left of the encoded current state, (ii) if $U_{3,11}$ is simulating a right move then this cycle prints the encoded write symbol to the left of the encoded current state. Case (ii) follows:

$$\begin{aligned}
& \mathbf{u}_3, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h^2\lambda\mathcal{E}'\lambda e \overline{\overline{\overleftarrow{h}\overleftarrow{a}\overleftarrow{a}^{14}\overleftarrow{b}^2\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega}} \\
& \mathbf{u}_3, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h^2\lambda\mathcal{E}'\lambda e \overline{\overline{\overleftarrow{a}\overleftarrow{a}\overleftarrow{a}^{14}\overleftarrow{b}^2\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega}} \\
& \mathbf{u}_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h^2\lambda\mathcal{E}'\lambda e \overline{\overline{\overleftarrow{a}\overleftarrow{a}\overleftarrow{a}^{14}\overleftarrow{b}^2\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega}} \\
& \mathbf{u}_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^3\lambda e^{15}h^2\lambda\mathcal{E}'\lambda e \overline{\overline{\overleftarrow{e}\overleftarrow{a}\overleftarrow{a}^{14}\overleftarrow{b}^2\overleftarrow{a}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega}} \tag{II}
\end{aligned}$$

In configuration (II) above we have shortened the overlined region; the two symbols $e\overleftarrow{e}$ to the left of M_1 's encoded current state encode the write symbol 0.

The example simulation of TR $t_{1,1} = (q_1, 1, 0, R, q_1)$ is now complete. As $U_{3,11}$ simulates M_1 the encoded tape contents to the left of the simulated tape head is encoded as e and h symbols (i.e. $\widehat{0} = ee$ and $\widehat{1} = he$). The contents to the right is encoded as \overleftarrow{a} and \overleftarrow{b} symbols (as in Definition 1). This is not a problem as $U_{3,11}$ simulates halting by moving the simulated tape head to the left end of the tape. As a result the entire encoded tape contents of the TM are to the right of the tape head and so are encoded by \overleftarrow{a} and \overleftarrow{b} symbols.

In configuration (II) above the encoded write symbol $\widehat{0}$ is written as the word $e\overleftarrow{e}$. This word will become ee after the next ETR has executed. The new encoded current state satisfies Definition 4. M_1 's simulated tape head (the new encoded current state) is configured so that $U_{3,11}$ reads the next encoded read symbol to the right when searching for the next ETR. The \overleftarrow{a} that signals the end of the encoded current state is provided by the next encoded read symbol $\widehat{0}$. \square

Remark 1 If the first read symbol of Example 2 is changed from a $\widehat{1}$ to a $\widehat{0}$, then one less \overleftarrow{b} is read when indexing the next ETR. This indexes the rightmost (rather than the second from the right) ETR.

4 Proof of correctness of $U_{3,11}$

In this section we prove that $U_{3,11}$ correctly simulates a number of the possible types of TRs. We then extend these cases to all cases thus proving the correctness of $U_{3,11}$'s computation.

Lemma 2 *Given a valid initial configuration of $U_{3,11}$, the encoded start state*

indexes the ETR defined by $\mathcal{E}(t_{1,1})$ if M 's read symbol is 1 and $\mathcal{E}'(f, t_{1,0})$ if M 's read symbol is 0.

PROOF. The encoded start state contains exactly 2 of the \overleftarrow{b} symbols. From Example 2 when $U_{3,11}$ reads a $\hat{1}$ in state \hat{q}_1 it neutralises two λ markers thus locating the second ETR from the right. By Definition 2 and Equation (2) this ETR is defined by $\mathcal{E}(t_{1,1})$. From Remark 1 and Example 2 when $U_{3,11}$ reads a $\hat{0}$ in state \hat{q}_1 it neutralises one λ , thus indexing the rightmost ETR defined by $\mathcal{E}'(f, t_{1,0})$. \square

Example 3 ($U_{3,11}$'s simulation of TR $t_{1,0} = (q_1, 0, 1, R, q_2)$ from M_1) In this example $U_{3,11}$ is reading a $\hat{0}$ after a right move. The right move was given by the simulation of $t_{1,1} = (q_1, 1, 0, R, q_1)$ in Example 2. This unique case involves two steps, executing an ETR' and then an ETR. The execution of an ETR' is represented by parts (a) and (b) of Fig. 3 and the execution of the subsequent ETR is represented by parts (c) and (d) of Fig. 3.

We take the last configuration of Example 2, with the encoded read symbol $\hat{0} = \overleftarrow{a} \overleftarrow{a}$ to the right of the encoded current state. Substituting the appropriate ETR' $e^{12}h^4$ from Equation (8) gives:

$$\begin{aligned} \mathbf{u}_1, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^4\lambda e^{12}h^4\lambda e e \overleftarrow{e} \overleftarrow{a}^{15} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \omega \\ \mathbf{u}_2, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^4\lambda e^{12}h^4\delta \overleftarrow{e} \overleftarrow{e} \overleftarrow{e} \overleftarrow{e}^{15} \overleftarrow{e} \overleftarrow{e} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \omega \end{aligned}$$

In the configuration immediately above we have reached the end of Cycle 1 (Index next ETR). One λ has been replaced with a δ , thus indexing the ETR' $e^{12}h^4$. The $\overleftarrow{b} \overleftarrow{a}$ that signaled the end of Cycle 1 was provided by the rightmost \overleftarrow{b} of the encoded current state and the leftmost \overleftarrow{a} of the encoded read symbol. Thus, only the leftmost \overleftarrow{a} of $\hat{0} = \overleftarrow{a} \overleftarrow{a}$ was read and this is sufficient to distinguish $\hat{0}$ from $\hat{1} = \overleftarrow{b} \overleftarrow{a}$. However the overlined region does not cover the entire encoded read symbol which is why an ETR' executes before an ETR in this unique case. Skipping to the end of Cycle 2 (Print ETR) gives:

$$\begin{aligned} \mathbf{u}_2, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^4\lambda \overrightarrow{e}^{12} \overrightarrow{h}^4 \lambda \overrightarrow{e} \overrightarrow{e} \overrightarrow{e} \overrightarrow{e} \overrightarrow{\gamma} \overleftarrow{a} \overleftarrow{a}^{11} \overleftarrow{b}^4 \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \omega \\ \mathbf{u}_2, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^4\lambda e^{12}h^4\lambda e e e e \overleftarrow{\gamma} \overleftarrow{a} \overleftarrow{a}^{11} \overleftarrow{b}^4 \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \omega \\ \mathbf{u}_3, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^4\lambda e^{12}h^4\lambda e e e e \overleftarrow{h} \overleftarrow{a} \overleftarrow{a}^{11} \overleftarrow{b}^4 \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \omega \\ \mathbf{u}_3, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^4\lambda e^{12}h^4\lambda e e e e \overleftarrow{h} \overleftarrow{a} \overleftarrow{a}^{11} \overleftarrow{b}^4 \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \omega \\ \mathbf{u}_3, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^4\lambda e^{12}h^4\lambda e e e e \overleftarrow{a} \overleftarrow{a} \overleftarrow{a}^{11} \overleftarrow{b}^4 \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \omega \\ \mathbf{u}_1, & (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^4\lambda e^{12}h^4\lambda e e e e \overleftarrow{a} \overleftarrow{a} \overleftarrow{a}^{11} \overleftarrow{b}^4 \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \omega \end{aligned}$$

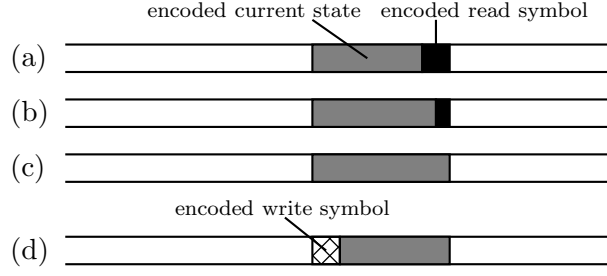


Fig. 3. Right move simulation (special case). The encoded current state marks the location of M 's simulated tape head. The configurations given in (a), (b) and (c) represent the reading of the encoded current state and an encoded 0 following a right move. (a) Encoded configuration before beginning the TR simulation. (b) Intermediate configuration after the encoded current state and first symbol of the encoded read symbol have been read. (c) Intermediate configuration immediately after the encoded read symbol has been read. (d) Configuration immediately after the simulated right move.

At this point $U_{3,11}$ has executed the ETR'. $U_{3,11}$ now executes the ETR that represents the second step of the simulation of TR $t_{1,0}$. This ETR is defined by $\mathcal{E}(t_{1,0})$. Substituting the ETR $he^{10}h^7$ from Equation (8) into the configuration immediately above gives:

$$\mathbf{u}_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^2\lambda he^{10}h^7\lambda\mathcal{E}\lambda\mathcal{E}'\lambda eee\overleftarrow{a}\overleftarrow{a}\overleftarrow{a}^{11}\overleftarrow{b}^4\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega$$

We now skip to the end of Cycle 1 (Index next ETR) giving:

$$\begin{aligned} \mathbf{u}_2, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^2\lambda he^{10}h^7\delta\overleftarrow{\mathcal{E}}\delta\overleftarrow{\mathcal{E}'}\delta\overleftarrow{e}\overleftarrow{e}\overleftarrow{e}\overleftarrow{e}^{18}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \\ \mathbf{u}_2, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^2\lambda he^{10}h^7\delta\overleftarrow{\mathcal{E}}\delta\overleftarrow{\mathcal{E}'}\delta\overleftarrow{e}\overleftarrow{e}\overleftarrow{e}\overleftarrow{e}^{18}\overleftarrow{\gamma}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \end{aligned} \quad (\text{III})$$

The ETR is indexed by neutralising 3 of the λ markers. The second part of the $\hat{0}$ is read during this process. We now skip to the end of Cycle 3 (Restore tape) and illustrate a $\hat{1}$ being written to the left of the encoded current state.

$$\begin{aligned} \mathbf{u}_2, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^2\overrightarrow{\lambda}\overrightarrow{h}\overrightarrow{e}^{10}\overrightarrow{h}^7\overrightarrow{\lambda}\overrightarrow{\mathcal{E}}\overrightarrow{\lambda\mathcal{E}'}\overrightarrow{\lambda}\overrightarrow{e}\overrightarrow{e}\overrightarrow{e}\overrightarrow{\gamma}\overleftarrow{b}\overleftarrow{a}^{10}\overleftarrow{b}^7\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \\ \mathbf{u}_2, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^2\lambda he^{10}h^7\lambda\mathcal{E}\lambda\mathcal{E}'\lambda eee\overleftarrow{\gamma}\overleftarrow{b}\overleftarrow{a}^{10}\overleftarrow{b}^7\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \\ \mathbf{u}_3, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^2\lambda he^{10}h^7\lambda\mathcal{E}\lambda\mathcal{E}'\lambda eee\overleftarrow{h}\overleftarrow{b}\overleftarrow{a}^{10}\overleftarrow{b}^7\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \\ \mathbf{u}_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2(\lambda\mathcal{E})^2\lambda he^{10}h^7\lambda\mathcal{E}\lambda\mathcal{E}'\lambda eee\overleftarrow{h}\overleftarrow{e}\overleftarrow{a}^{10}\overleftarrow{b}^7\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega \end{aligned}$$

In the configuration immediately above the write symbol is positioned to the left of the new encoded current state. Recall that to the left of the simulated tape head the symbol 1 is encoded as he . The \overleftarrow{h} becomes h after execution of the next ETR. The new encoded current state satisfies Definition 4 and the simulation of TR $t_{1,0} = (q_1, 0, 1, R, q_2)$ is complete. \square

Lemma 3 *Given a valid configuration of $U_{3,11}$, the encoded current state \widehat{q}_x and encoded read symbol $\widehat{\sigma}_1$ index the ETR $\mathcal{E}(t_{x,\sigma_1})$.*

PROOF. \widehat{M} is a list of ETRs, five ETRs for each state (pair of TRs) in M . The number of \overleftarrow{b} symbols in the encoded current state \widehat{q}_x and encoded read symbol, is used to index the next ETR to be executed. If the number of \overleftarrow{b} symbols is l then the $l-1^{\text{th}}$ ETR from the right is indexed. In the encoding, the function $b(\cdot)$ determines the number of \overleftarrow{b} symbols in the next encoded current state. The function $b(\cdot)$ is defined as a summation over $g(\cdot)$ in Equation (6) for j , where $1 \leq j \leq x$.

From Equation (7), for each $j < x$, the function $g(\cdot)$ always has value 5, hence there are at least $5(x-1)$ juxtaposed \overleftarrow{b} symbols in \widehat{q}_x . The state q_x is encoded using five ETRs. When $j = x$, then $g = 0$ or $g = 3$; giving a total number of \overleftarrow{b} symbols that point to the 1st or 4th of these five ETRs respectively.

Any encoded current state \widehat{q}_x , was established by execution of an ETR r . The ETR r encodes move direction D_r and next state q_x . The location of the ETR that is indexed by \widehat{q}_x is dependent on the move direction D_r of r . When $D_r = L$ and $j = x$ then $g(\cdot) = 3$; when this 3 is added to $5(x-1)$ this indexes the 4th ETR (from right) of the ETRs for q_x . Using this value of $5(x-1) + 3$ we get Cases A and B below. For clarity at this point note that D_r is the move direction of the ETR r that *established* \widehat{q}_x and $\widehat{\sigma}_1$ is the read symbol that is read with \widehat{q}_x to index the *next* ETR $\mathcal{E}(t_{x,\sigma_1})$.

Case A: ($D_r = L, \sigma_1 = 0$). $\widehat{0} = \overleftarrow{a}\overleftarrow{a}$ adds no extra \overleftarrow{b} symbols to the number of \overleftarrow{b} symbols provided by \widehat{q}_x , thus the number of \overleftarrow{b} symbols is given by $g(\cdot)$ alone and indexes the 4th ETR (from right). By Equation (2) this is $\mathcal{E}(t_{x,0})$.

Case B: ($D_r = L, \sigma_1 = 1$). $\widehat{1} = \overleftarrow{b}\overleftarrow{a}$ adds one extra \overleftarrow{b} to the number of \overleftarrow{b} symbols provided by \widehat{q}_x , thus indexing the 5th ETR (from right). By Equation (2) this is $\mathcal{E}(t_{x,1})$.

When $D_r = R$ and $j = x$ then $g(\cdot) = 0$. Adding this 0 to $5(x-1)$ we get Cases C and D.

Case C: ($D_r = R, \sigma_1 = 1$). $\widehat{1} = \overleftarrow{b}\overleftarrow{a}$ adds one extra \overleftarrow{b} to the number of \overleftarrow{b} symbols provided by \widehat{q}_x , thus indexing the 2nd ETR (from right). By Equation (2) this is $\mathcal{E}(t_{x,1})$.

Case D: ($D_r = R, \sigma_1 = 0$). Case D is a unique case in which $U_{3,11}$ simulates a TR t with read symbol 0, immediately after a right moving TR $t^{R,x}$ (i.e. $t^{R,x} \vdash t$). In such a case t is encoded as 2 ETRs using \mathcal{E} and \mathcal{E}' . The encoded

read symbol $\hat{0} = \overleftarrow{a} \overleftarrow{a}$ adds no extra \overleftarrow{b} symbols thus indexing the rightmost ETR, which is an ETR'. This ETR' is given by the function \mathcal{E}' and establishes an *intermediate* encoded current state \widehat{q}_x' that indexes another ETR that in turn completes the simulation of t . This other ETR is positioned 2 ETRs to the left of the ETR'. Hence in Equation (4), $t^{R,x}$ is passed to $b(\cdot)$ as a parameter (instead of t) and \mathcal{E}' adds 2 extra \overleftarrow{b} symbols to index the ETR 2 places to the left of ETR'. By Equation (2) this is $\mathcal{E}(t_{x,0})$. \square

Examples 2 and 3 give simulations of right moving TRs with the later case covering the special case of reading a 0 after a right move. Example 4 gives the simulation of a left moving TR.

Example 4 ($U_{3,11}$'s simulation of TR $t_{2,1} = (q_2, 1, 1, L, q_3)$ from M_1) We take the last configuration of Example 3, with $\hat{1} = \overleftarrow{b} \overleftarrow{a}$ to the right of the encoded current state. Substituting the appropriate ETR from Equation (8) gives:

$$\mathbf{u}_1, (\lambda\mathcal{E})^4 \lambda\mathcal{E}' (\lambda\mathcal{E})^3 \lambda e h^{15} e h e \lambda\mathcal{E}' (\lambda\mathcal{E})^4 \lambda\mathcal{E}' \lambda e e e \overleftarrow{h} \overleftarrow{e} \overleftarrow{a}^{10} \overleftarrow{b}^7 \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \omega$$

We now skip to the end of Cycle 1 (Index next ETR) giving:

$$\mathbf{u}_2, (\lambda\mathcal{E})^4 \lambda\mathcal{E}' (\lambda\mathcal{E})^3 \lambda e h^{15} e h e \delta \overleftarrow{\mathcal{E}'} (\delta \overleftarrow{\mathcal{E}})^4 \delta \overleftarrow{\mathcal{E}'} \delta \overleftarrow{e} \overleftarrow{e} \overleftarrow{e} \overleftarrow{h} \overleftarrow{e} \overleftarrow{e}^{18} \overleftarrow{\gamma} \overleftarrow{a} \omega \quad (\text{IV})$$

Notice that the ETR is indexed by neutralising 7 of the λ markers while reading the $\hat{1}$ in this process. Next the ETR $eh^{15}ehe$ is printed and we skip to the end of Cycle 2 (Print ETR).

$$\mathbf{u}_2, (\lambda\mathcal{E})^4 \lambda\mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overrightarrow{e} \overrightarrow{h}^{15} \overrightarrow{e} \overrightarrow{h} \overrightarrow{e} \delta \overrightarrow{\mathcal{E}'} (\delta \overrightarrow{\mathcal{E}})^4 \delta \overrightarrow{\mathcal{E}'} \delta \overrightarrow{e} \overrightarrow{e} \overrightarrow{e} \overrightarrow{h} \overrightarrow{\gamma} \overleftarrow{a} \overleftarrow{b}^{15} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \omega$$

Skipping to the end of Cycle 3 (Restore tape) gives:

$$\mathbf{u}_2, (\lambda\mathcal{E})^4 \lambda\mathcal{E}' (\lambda\mathcal{E})^3 \lambda e h^{15} e h e \lambda\mathcal{E}' (\lambda\mathcal{E})^4 \lambda\mathcal{E}' \lambda e e e h \overleftarrow{\gamma} \overleftarrow{a} \overleftarrow{b}^{15} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \omega \quad (\text{V})$$

In configuration (V) above the correct write symbol ($\hat{1} = \overleftarrow{b} \overleftarrow{a}$) has been placed to the right of the encoded current state. The new encoded current state satisfies Definition 4 and the simulation of TR $t_{2,1} = (q_2, 1, 1, L, q_3)$ is complete. \square

Remark 2 We show how $U_{3,11}$ reads an encoded read symbol *following a left move*. In this case the encoded read symbol is to the left of the encoded current

There is no TR for the state-symbol pair (u_3, δ) in $U_{3,11}$ so the simulation halts. \square

Lemma 5 *Given a valid initial configuration of $U_{3,11}$, then immediately after the first ETR of a computation is indexed, the overlined region is of the form $\overleftarrow{e}^{5|Q|+3}\gamma$.*

PROOF. The encoded start state \hat{q}_1 in an initial configuration is of the form $\overleftarrow{a}^{5|Q|}\overleftarrow{b}^2$. Example 2 gives the case of reading a $\hat{1}$ in the encoded start state \hat{q}_1 . In this case \hat{q}_1 and $\hat{1}$ have both been read, that is the \overleftarrow{b} and \overleftarrow{a} symbols of \hat{q}_1 and $\hat{1}$ have been replaced with \overleftarrow{e} symbols and the rightmost \overleftarrow{a} is replaced with a γ . This gives an overlined region of $\overleftarrow{e}^{5|Q|+3}\gamma$.

The other case is reading a $\hat{0}$ in state \hat{q}_1 . By definition the $\hat{0}$ is located immediately to the right of \hat{q}_1 . When reading a $\hat{0}$ on the right there are two steps; executing an ETR' and then an ETR. From Lemma 2 we know that the ETR' $\mathcal{E}'(f, t_{1,0})$ is indexed. Example 3 executes an ETR' and indexes the subsequent ETR, while in encoded state \hat{q}_1 . At this point the entire $\hat{0}$ has been read. Thus the \overleftarrow{b} and \overleftarrow{a} symbols of \hat{q}_1 and $\hat{0}$ have been replaced with \overleftarrow{e} symbols and the rightmost \overleftarrow{a} is replaced with a γ . This gives an overlined region of $\overleftarrow{e}^{5|Q|+3}\gamma$. \square

Lemma 6 *$U_{3,11}$ simulates any TR of any deterministic TM M .*

PROOF. The proof is by induction on the form of the overlined region. The base case is given by Lemma 5; after the first ETR is indexed then the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$.

We will show that immediately after any ETR is indexed, the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$.

Assume that the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$ immediately after indexing an ETR ξ_1 in the simulation of timestep i of M 's computation. Let ξ_2 be the ETR that is executed immediately after ξ_1 . We now show that the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$ immediately after indexing ξ_2 in the simulation of timestep $i + 1$.

The four cases of ETRs are defined by Equation (3). In Examples 2, 3 and 4, three of these cases are shown to execute correctly on an overlined region of the form $\overleftarrow{e}^{5|Q|+3}\gamma$. We use Example 4 to verify the remaining case (left move, write 0) by substitution of the ETR defined by Case 4 of Equation (3) with the ETR defined by Case 3 of Equation (3). The examples generalise to arbitrary TRs.

Case 1 of Equation (3): Examples 2 and 3 verify Case 1. In configuration (I) above (in simulation of timestep i) the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$ and the ETR ξ_1 that is indexed is defined by Case 1 of Equation (3). In configuration (III) above (in simulation of timestep $i + 1$) the next ETR ξ_2 has been indexed and the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$.

Case 2 of Equation (3): Examples 3 and 4 verify Case 2. In configuration (III) above (in simulation of timestep i) the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$ and the ETR ξ_1 that is indexed is defined by Case 2 of Equation (3). In configuration (IV) above (in simulation of timestep $i + 1$) the next ETR ξ_2 has been indexed and the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$.

Case 4 of Equation (3): Example 4 and configuration (VIII) verify Case 4. In configuration (IV) above (in simulation of timestep i) the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$ and the ETR ξ_1 that is indexed is defined by Case 4 of Equation (3). In configuration (VIII) above (in simulation of timestep $i + 1$) the next ETR ξ_2 has been indexed and the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$.

Case 3 of Equation (3): Case 4 also verifies Case 3 by substitution of the ETR defined by Case 4 of Equation (3) with the ETR defined by Case 3.

We have shown that the overlined region is $\overleftarrow{e}^{5|Q|+3}\gamma$ immediately after any ETR is indexed. From Examples 2, 3 and 4, each ETR executes on an overlined region of $\overleftarrow{e}^{5|Q|+3}\gamma$ establishing the correct simulated tape head location, encoded write symbol, and an encoded current state that satisfies Definition 4. By Lemmas 2 and 3 the encoded current state indexes the correct ETR. Due to the relative lengths of the encoded current state and overlined region the above mentioned examples generalise to any TR of any TM M . \square

Let M be a deterministic TM with $|Q|$ states and time complexity [14] of $T(n)$ on input length n .

Theorem 7 $U_{3,11}$ simulates any TM M in space $O(T(n) + |Q|^2)$ and time $O(|Q|T^2(n) + |Q|^3T(n))$.

PROOF. By the previous lemma $U_{3,11}$ simulates any TR. Thus given a valid encoding of M 's initial configuration (Definition 2), $U_{3,11}$ simulates the sequence of TRs in M 's computation. From Lemma 4 when $U_{3,11}$ simulates the halting state of M , $U_{3,11}$'s tape head returns to the left end of M 's encoded output and halts. The encoded output is easily decoded via Definition 1.

(*Space*). At time $T(n)$ the space used by M is bounded by $T(n)$. Simulator $U_{3,11}$ uses space $O(T(n) + |Q|^2)$, where $O(|Q|^2)$ space is used to store M as

the word \widehat{M} and $O(T(n))$ space is used to store M 's encoded tape after $T(n)$ simulated steps.

(*Time*). Simulating a TR involves 4 cycles. (1) Index an ETR by neutralising $O(|Q|)$ of the λ markers: $O(|Q|T(n) + |Q|^3)$ steps. (2) Copy an ETR of length $O(|Q|)$ from \widehat{M} to the encoded current state location: $O(|Q|T(n) + |Q|^3)$ steps. (3) Restore $U_{3,11}$'s tape contents: $O(T(n) + |Q|^2)$ steps. (4) Complete execution of ETR: a small constant number of steps. Thus $U_{3,11}$ uses $O(|Q|T(n) + |Q|^3)$ time to simulate a single step of M , and $O(|Q|T^2(n) + |Q|^3T(n))$ time to simulate the entire computation of M . \square

This result holds for more general definitions of TMs. For example, let M' be a deterministic multitape TM with bi-infinite tapes and more than two symbols. M' is converted to a two symbol, one-way-infinite single tape TM M . The number of states in M is only a constant times greater than the number of states and symbols in M' , also M is at worst polynomially slower than M' . Thus, $U_{3,11}$ simulates M' in polynomial time.

From Theorem 7 we get the following immediate corollary.

Corollary 8 *There are polynomial time UTMs in $UTM(m, n)$ for all $m \geq 3$, $n \geq 11$.*

5 Polynomial time Curve

In this section we further extend our result by finding small polynomial time UTMs in other classes. Thus we establish a polynomial time curve of small UTMs analogous to what Rogozhin [5] has achieved with Minsky's [4] exponential time UTM in $UTM(7,4)$.

All UTMs in this paper use the same basic algorithm as $U_{3,11}$. The proof of correctness given for $U_{3,11}$ can be applied to the remaining machines in a straightforward way, so we do not restate it. The encoding of the input and operation of these UTMs is the same as $U_{3,11}$ unless noted otherwise. Each UTM makes use of specially tailored \mathcal{E} and \mathcal{E}' functions.

5.1 Construction of $U_{6,6}$

For $U_{6,6}$ the start state of \widehat{M} is encoded as $\widehat{q}_1 = \overleftarrow{a}^{5|Q|} \overleftarrow{b}^2$. The encoding of the current state is of the form $\overleftarrow{a}^* \overleftarrow{b}^{-2} \overleftarrow{b}^* \{ \overleftarrow{a} \cup \epsilon \}$ and is of length $5|Q| + 2$.

Let $t = (q_x, \sigma_1, \sigma_2, D, q_y)$ be a fixed TR in M , then t is encoded via \mathcal{P} using the function \mathcal{E} on its own, or in conjunction with \mathcal{E}' , where

$$\mathcal{E}(t) = \begin{cases} \overleftarrow{b} b(t) \overleftarrow{a} a(t) \overleftarrow{b} & \text{if } D = R, \sigma_2 = 0 \\ \overleftarrow{b} b(t) \overleftarrow{a} a(t) \overleftarrow{a} & \text{if } D = R, \sigma_2 = 1 \\ \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b} b(t) \overleftarrow{a} a(t) \overleftarrow{b} & \text{if } D = L, \sigma_2 = 0 \\ \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b} b(t) \overleftarrow{a} a(t) \overleftarrow{b} & \text{if } D = L, \sigma_2 = 1 \end{cases} \quad (9)$$

and

$$\mathcal{E}'(f, t) = \begin{cases} \overleftarrow{b} b(t^{R,x}) \overleftarrow{a} a(t^{R,x}) \overleftarrow{b} & \text{if } \exists t^{R,x}, q_x \neq q_1 \\ \epsilon & \text{if } \nexists t^{R,x}, q_x \neq q_1 \\ \overleftarrow{b}^4 \overleftarrow{a}^{|Q|-5} \overleftarrow{b} & \text{if } q_x = q_1 \end{cases} \quad (10)$$

where as before $t^{R,x}$ is any right moving TR such that $t^{R,x} \vdash t$.

The value of the ending E , from Equation (1), for $U_{6,6}$ is $E = \overleftarrow{a}$.

Example 5 (Encoding of TM M_2 for $U_{6,6}$) Let TM $M_2 = (\{q_1, q_2\}, \{0, 1\}, 0, f, q_1, \{q_2\})$ where f is defined by $(q_1, 0, 0, R, q_1)$, $(q_1, 1, 1, R, q_2)$, $(q_2, 0, 0, L, q_2)$ and $(q_2, 1, 1, L, q_2)$. M_2 is encoded as: $\widehat{M}_2 = \lambda \mathcal{P}(f, q_2) \lambda \mathcal{P}(f, q_1) \lambda E$. Substituting the appropriate values from Equation (2) gives

$$\begin{aligned} \widehat{M}_2 &= \lambda \mathcal{E}(t_{2,1}) \lambda \mathcal{E}(t_{2,0}) \lambda \mathcal{E}(t_{2,0}) \lambda \mathcal{E}(t_{2,1}) \lambda \mathcal{E}'(f, t_{2,0}) \\ &\quad \lambda \mathcal{E}(t_{1,1}) \lambda \mathcal{E}(t_{1,0}) \lambda \mathcal{E}(t_{1,0}) \lambda \mathcal{E}(t_{1,1}) \lambda \mathcal{E}'(f, t_{1,0}) \lambda E. \end{aligned}$$

Rewriting this using Equations (9) and (10) gives

$$\begin{aligned} \widehat{M}_2 &= \lambda \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b}^{11} \lambda \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b}^{11} \lambda \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b}^{11} \lambda \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b}^{11} \\ &\quad \lambda \overleftarrow{b}^{10} \lambda \overleftarrow{b}^7 \overleftarrow{a}^6 \lambda \overleftarrow{b}^2 \overleftarrow{a}^7 \overleftarrow{b} \lambda \overleftarrow{b}^2 \overleftarrow{a}^7 \overleftarrow{b} \lambda \overleftarrow{b}^7 \overleftarrow{a}^6 \lambda \overleftarrow{b}^4 \overleftarrow{a}^5 \overleftarrow{b} \lambda \overleftarrow{a}. \end{aligned} \quad (11)$$

□

Definition 7 ($U_{6,6}$) Let TM $U_{6,6} = (\{u_1, u_2, u_3, u_4, u_5, u_6\}, \{\overleftarrow{a}, \overleftarrow{b}, \overrightarrow{a}, \overrightarrow{b}, \lambda, \delta\},$

$\overleftarrow{a}, f, u_1, \{u_3, u_5, u_6\}$) where f is given by the following transition rules.

$u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overleftarrow{a}, \lambda, L, u_4$	$u_3, \overleftarrow{a}, \overrightarrow{a}, L, u_3$
$u_1, \overleftarrow{b}, \overleftarrow{a}, R, u_2$	$u_2, \overleftarrow{b}, \overleftarrow{b}, L, u_3$	$u_3, \overleftarrow{b}, \overrightarrow{b}, L, u_3$
$u_1, \overrightarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overrightarrow{a}, \overleftarrow{a}, R, u_2$	u_3, \overrightarrow{a}
$u_1, \overrightarrow{b}, \overleftarrow{b}, R, u_1$	$u_2, \overrightarrow{b}, \overleftarrow{b}, R, u_2$	$u_3, \overrightarrow{b}, \overleftarrow{a}, L, u_5$
$u_1, \lambda, \overleftarrow{b}, L, u_2$	$u_2, \lambda, \overleftarrow{a}, L, u_2$	$u_3, \lambda, \delta, R, u_1$
$u_1, \delta, \delta, R, u_1$	$u_2, \delta, \delta, R, u_2$	$u_3, \delta, \delta, L, u_3$
$u_4, \overleftarrow{a}, \overrightarrow{a}, L, u_4$	$u_5, \overleftarrow{a}, \overleftarrow{a}, L, u_1$	u_6, \overleftarrow{a}
$u_4, \overleftarrow{b}, \overrightarrow{b}, L, u_4$	$u_5, \overleftarrow{b}, \overleftarrow{a}, L, u_3$	u_6, \overleftarrow{b}
$u_4, \overrightarrow{a}, \overleftarrow{a}, R, u_5$	$u_5, \overrightarrow{a}, \overrightarrow{a}, R, u_2$	$u_6, \overrightarrow{a}, \overleftarrow{a}, R, u_6$
$u_4, \overrightarrow{b}, \overleftarrow{b}, R, u_5$	$u_5, \overrightarrow{b}, \overrightarrow{b}, R, u_1$	$u_6, \overrightarrow{b}, \overleftarrow{b}, R, u_6$
$u_4, \lambda, \lambda, R, u_5$	u_5, λ	$u_6, \lambda, \overrightarrow{b}, R, u_5$
$u_4, \delta, \delta, L, u_4$	$u_5, \delta, \lambda, R, u_6$	$u_6, \delta, \lambda, R, u_6$

Remark 3 There are some minor differences between the operation of $U_{6,6}$ and $U_{3,11}$. The order of symbols in ETRs of $U_{6,6}$ is reversed when compared with ETRs of $U_{3,11}$, assuming $\overleftarrow{a} = e$ and $\overleftarrow{b} = h$. To see this, note the difference between Equations (3) and (9). When printing an ETR, $U_{6,6}$ reverses the order so that encoded current states are of the same form as those in $U_{3,11}$. Also M 's encoded tape symbols to the left and right of the simulated tape head use the *same* encodings ($\hat{0} = \overleftarrow{a}\overleftarrow{a}$ and $\hat{1} = \overleftarrow{b}\overleftarrow{a}$). This is not the case for $U_{3,11}$.

We give an example of $U_{6,6}$ simulating a TR of M_2 from Example 5. As usual the example is separated into 4 cycles.

Example 6 ($U_{6,6}$'s simulation of TR $t_{1,1} = (q_1, 1, 1, R, q_2)$ from TM M_2)
The start state of $U_{6,6}$ is u_1 and the tape head of $U_{6,6}$ is over the leftmost symbol of \hat{q}_1 (as in Definition 2). The input to M_2 is 11 (encoded via $\hat{1} = \overleftarrow{b}\overleftarrow{a}$). Thus the initial configuration is:

$$u_1, (\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}\lambda\mathcal{E}')^2\lambda\overleftarrow{a}\overleftarrow{a}^{10}\overleftarrow{b}^2\overleftarrow{b}\overleftarrow{a}\overleftarrow{b}\overleftarrow{a}\overleftarrow{a}^\omega$$

Cycle 1 (Index next ETR)

$u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overleftarrow{a}, \lambda, L, u_4$	$u_3, \overleftarrow{a}, \overrightarrow{a}, L, u_3$
$u_1, \overleftarrow{b}, \overleftarrow{a}, R, u_2$	$u_2, \overleftarrow{b}, \overleftarrow{b}, L, u_3$	$u_3, \overleftarrow{b}, \overrightarrow{b}, L, u_3$
$u_1, \overrightarrow{a}, \overleftarrow{a}, R, u_1$		$u_3, \lambda, \delta, R, u_1$
$u_1, \overrightarrow{b}, \overleftarrow{b}, R, u_1$		$u_3, \delta, \delta, L, u_3$
$u_1, \delta, \delta, R, u_1$		

In Cycle 1 the left block of TRs (above) reads the encoded current state. The right block neutralises λ markers to index the next ETR. The neutralisation is done in the usual way; each \overleftarrow{b} in the encoded current state causes a λ to be replaced with a δ . The middle block decides when the cycle is complete. In state u_1 the tape head scans from left to right; each \overleftarrow{b} in the encoded current state is replaced with an \overleftarrow{a} and $U_{6,6}$ then enters state u_3 via u_2 .

We have replaced the shorthand notation \mathcal{E} with the word $\overleftarrow{b}^7 \overleftarrow{a}^6$ defined by $\mathcal{E}(t_{1,1})$. The word $\overleftarrow{b}^7 \overleftarrow{a}^6$ appears in the location defined by Equation (11). After the initial configuration we have:

$$\begin{aligned}
 \mathbf{u}_1, & (\lambda\mathcal{E})^4 \lambda\mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b}^7 \overleftarrow{a}^6 \lambda\mathcal{E}' \lambda \overleftarrow{a} \overleftarrow{a}^{10} \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
 \mathbf{u}_2, & (\lambda\mathcal{E})^4 \lambda\mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b}^7 \overleftarrow{a}^6 \lambda\mathcal{E}' \lambda \overleftarrow{a} \overleftarrow{a}^{10} \overleftarrow{a} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
 \mathbf{u}_3, & (\lambda\mathcal{E})^4 \lambda\mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b}^7 \overleftarrow{a}^6 \lambda\mathcal{E}' \lambda \overleftarrow{a} \overleftarrow{a}^{10} \overleftarrow{a} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
 \mathbf{u}_3, & (\lambda\mathcal{E})^4 \lambda\mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b}^7 \overleftarrow{a}^6 \lambda\mathcal{E}' \lambda \overrightarrow{a} \overrightarrow{a}^{10} \overrightarrow{a} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
 \mathbf{u}_1, & (\lambda\mathcal{E})^4 \lambda\mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b}^7 \overleftarrow{a}^6 \lambda\mathcal{E}' \delta \overrightarrow{a} \overrightarrow{a}^{10} \overrightarrow{a} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega
 \end{aligned}$$

The neutralisation process continues until $U_{6,6}$ reads the final \overleftarrow{b} , moves right to test for the end of the encoded current state and read symbol in u_2 , and then reads an \overleftarrow{a} . When this occurs $U_{6,6}$ is finished reading the encoded current state and read symbol. Skipping to the end of this cycle gives:

$$\mathbf{u}_4, (\lambda\mathcal{E})^4 \lambda\mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b}^7 \overleftarrow{a}^6 \delta\mathcal{E}' \delta \overleftarrow{a} \overleftarrow{a}^{10} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \lambda \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega$$

$U_{6,6}$ has neutralised two λ markers to index the next ETR.

Cycle 2 (Print ETR)

$u_4, \overleftarrow{a}, \overrightarrow{a}, L, u_4$	$u_5, \overrightarrow{a}, \overrightarrow{a}, R, u_2$	$u_1, \overrightarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overleftarrow{a}, \lambda, L, u_4$
$u_4, \overleftarrow{b}, \overrightarrow{b}, L, u_4$	$u_5, \overrightarrow{b}, \overrightarrow{b}, R, u_1$	$u_1, \overrightarrow{b}, \overleftarrow{b}, R, u_1$	$u_2, \overrightarrow{a}, \overleftarrow{a}, R, u_2$
$u_4, \overrightarrow{a}, \overleftarrow{a}, R, u_5$	$u_5, \delta, \lambda, R, u_6$	$u_1, \lambda, \overleftarrow{b}, L, u_2$	$u_2, \overrightarrow{b}, \overleftarrow{b}, R, u_2$
$u_4, \overrightarrow{b}, \overleftarrow{b}, R, u_5$		$u_1, \delta, \delta, R, u_1$	$u_2, \lambda, \overleftarrow{a}, L, u_2$
$u_4, \lambda, \lambda, R, u_5$			$u_2, \delta, \delta, R, u_2$
$u_4, \delta, \delta, L, u_4$			

This cycle copies an ETR to M 's simulated tape head position. The leftmost block scans left and locates the next symbol of the ETR to be printed. The second block from the left records the symbol to be printed or ends the cycle. The rightmost two blocks scan right and print the appropriate symbol. In the configurations below, $U_{6,6}$ scans left until a λ is read. Then $U_{6,6}$ moves right and records the symbol read by entering state u_1 or u_2 . In the configurations below when all the \overleftarrow{b} and \overleftarrow{a} symbols in an \mathcal{E}' are replaced with \overrightarrow{b} and \overrightarrow{a} symbols then the resulting word is denoted $\overrightarrow{\mathcal{E}'}$.

$$\begin{aligned}
\mathbf{u}_4, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overrightarrow{b} \overrightarrow{b} \overrightarrow{b} \overrightarrow{a} \delta \overrightarrow{\mathcal{E}'} \delta \overrightarrow{a} \overrightarrow{a}^{12} \overrightarrow{a} \lambda \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
\mathbf{u}_5, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \underline{\overrightarrow{b}} \overrightarrow{b} \overrightarrow{b} \overrightarrow{a} \delta \overrightarrow{\mathcal{E}'} \delta \overrightarrow{a} \overrightarrow{a}^{12} \overrightarrow{a} \lambda \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
\mathbf{u}_1, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overrightarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \delta \mathcal{E}' \delta \overleftarrow{a} \overleftarrow{a}^{12} \overleftarrow{a} \underline{\lambda} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
\mathbf{u}_2, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overrightarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \delta \mathcal{E}' \delta \overleftarrow{a} \overleftarrow{a}^{12} \underline{\overleftarrow{a}} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
\mathbf{u}_4, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \underline{\overrightarrow{b}} \overrightarrow{b} \overrightarrow{b} \overrightarrow{a} \delta \overrightarrow{\mathcal{E}'} \delta \overrightarrow{a} \overrightarrow{a}^{12} \lambda \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
\mathbf{u}_5, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b} \underline{\overrightarrow{b}} \overrightarrow{b} \overrightarrow{a} \delta \overrightarrow{\mathcal{E}'} \delta \overrightarrow{a} \overrightarrow{a}^{12} \lambda \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega
\end{aligned}$$

On the first pass $U_{6,6}$ located the symbol to be printed by using λ as a marker. On subsequent passes $U_{6,6}$ locates the symbol to be printed by locating an \overrightarrow{a} or \overrightarrow{b} . This printing process is iterated until $U_{6,6}$ is finished printing the ETR. The completion of this process occurs on reading a δ in state u_5 which switches control to u_6 .

$$\begin{aligned}
\mathbf{u}_4, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \delta \overrightarrow{\mathcal{E}'} \delta \overrightarrow{a} \overleftarrow{a} \overleftarrow{a}^6 \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
\mathbf{u}_5, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \delta \overrightarrow{\mathcal{E}'} \delta \overrightarrow{a} \overleftarrow{a} \overleftarrow{a}^6 \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega \\
\mathbf{u}_6, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \lambda \underline{\overrightarrow{\mathcal{E}'}} \delta \overrightarrow{a} \overleftarrow{a} \overleftarrow{a}^6 \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega
\end{aligned}$$

Cycle 3 (Restore tape)

$$\begin{aligned}
& u_6, \overrightarrow{a}, \overleftarrow{a}, R, u_6 \\
& u_6, \overrightarrow{b}, \overleftarrow{b}, R, u_6 \\
& u_6, \lambda, \overrightarrow{b}, R, u_5 \\
& u_6, \delta, \lambda, R, u_6
\end{aligned}$$

These TRs restore M 's simulated tape and encoded table of behaviour. $U_{6,6}$ moves right restoring each \overrightarrow{a} to \overleftarrow{a} , each \overrightarrow{b} to \overleftarrow{b} , and each δ to λ . This continues until $U_{6,6}$ reads λ , sending control to u_5 .

$$\begin{aligned}
\mathbf{u}_6, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b} \overleftarrow{a}^6 \lambda \mathcal{E}' \lambda \overleftarrow{a} \overline{\overleftarrow{a} \overleftarrow{a}^5 \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega} \\
\mathbf{u}_5, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b} \overleftarrow{a}^6 \lambda \mathcal{E}' \lambda \overleftarrow{a} \overrightarrow{\overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^5 \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega}
\end{aligned}$$

Cycle 4 (Choose read or write symbol)

$$\begin{array}{lll}
u_5, \overleftarrow{a}, \overleftarrow{a}, L, u_1 & u_3, \overrightarrow{b}, \overleftarrow{a}, L, u_5 & u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1 \\
u_5, \overleftarrow{b}, \overleftarrow{a}, L, u_3 & & u_1, \overrightarrow{b}, \overleftarrow{b}, R, u_1 \\
u_5, \lambda & &
\end{array}$$

This cycle either (i) begins the indexing of an ETR or (ii) completes the execution of an ETR. More precisely: (i) if $U_{6,6}$ is immediately after simulating a left move then this cycle reads the encoded read symbol to the left of the encoded current state, (ii) if $U_{6,6}$ is simulating a right move then this cycle prints the encoded write symbol to the left of the encoded current state. Case (ii) follows:

$$\begin{aligned}
\mathbf{u}_1, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b} \overleftarrow{a}^6 \lambda \mathcal{E}' \lambda \overleftarrow{a} \overline{\overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^5 \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega} \\
\mathbf{u}_1, & (\lambda\mathcal{E})^4 \lambda \mathcal{E}' (\lambda\mathcal{E})^3 \lambda \overleftarrow{b} \overleftarrow{a}^6 \lambda \mathcal{E}' \lambda \overleftarrow{a} \overleftarrow{\overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^5 \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^\omega}
\end{aligned}$$

In the configuration immediately above we have shortened the overlined section; the two symbols to the left of \widehat{M}_2 's encoded current state encode the write symbol 1.

The example simulation of TR $t_{1,1} = (q_1, 1, 1, R, q_2)$ is now complete. The correct encoded write symbol $\widehat{1} = \overleftarrow{b} \overleftarrow{a}$ has been written and the new encoded current state is of the correct form. M_2 's simulated tape head (the new encoded current state) is configured so $U_{6,6}$ reads the next encoded read symbol to the right when searching for the next ETR. \square

Left moving TRs are simulated in a similar fashion to the right moving TR

given above, except in this case the write symbol is written on the right hand side of the encoded current state as shown in Fig. 2 (cL). After the left move M_2 's simulated tape head (encoded current state) is configured to read the encoded tape symbol to its left when searching for the next ETR.

The halting case for $U_{6,6}$ is similar to the halting case for $U_{3,11}$. When $U_{6,6}$ encounters the state symbol pair (u_5, λ) , for which there is no TR, the computation halts. This occurs during Cycle 4 when $U_{6,6}$ attempts to simulate a left move at the left end of the simulated tape.

5.2 Construction of $U_{5,7}$

For $U_{5,7}$ the start state of \widehat{M} is encoded as $\widehat{q}_1 = \overleftarrow{a}^{5|Q|} \overleftarrow{b}^4$. The encoding of M 's current state is of the form $\overleftarrow{a}^* \overleftarrow{b}^4 \overleftarrow{b}^* \{\overleftarrow{a} \cup \epsilon\}$ and is of length $5|Q| + 4$.

Let $t = (q_x, \sigma_1, \sigma_2, D, q_y)$ be a fixed TR in M , then t is encoded via \mathcal{P} using the function \mathcal{E} on its own, or in conjunction with \mathcal{E}' , where

$$\mathcal{E}(t) = \begin{cases} \overleftarrow{b}^{b(t)+2} \overleftarrow{a}^{a(t)+1} & \text{if } D = R, \sigma_2 = 0 \\ \overleftarrow{b}^{b(t)+2} \overleftarrow{a}^{a(t)} \overleftarrow{b} & \text{if } D = R, \sigma_2 = 1 \\ \overleftarrow{a} \overleftarrow{a} \overleftarrow{a} \overleftarrow{b}^{b(t)+2} \overleftarrow{a}^{a(t)-1} & \text{if } D = L, \sigma_2 = 0 \\ \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b}^{b(t)+2} \overleftarrow{a}^{a(t)-1} & \text{if } D = L, \sigma_2 = 1 \end{cases} \quad (12)$$

and

$$\mathcal{E}'(f, t) = \begin{cases} \overleftarrow{b}^{b(t^{R,x})+4} \overleftarrow{a}^{a(t^{R,x})-2} & \text{if } \exists t^{R,x}, q_x \neq q_1 \\ \epsilon & \text{if } \nexists t^{R,x}, q_x \neq q_1 \\ \overleftarrow{b}^6 \overleftarrow{a}^{5|Q|-2} & \text{if } q_x = q_1 \end{cases} \quad (13)$$

where as before $t^{R,x}$ is any right moving TR such that $t^{R,x} \vdash t$.

The value of the ending E , from Equation (1), for $U_{5,7}$ is $E = \lambda \overleftarrow{b} \lambda \overleftarrow{a}$.

Definition 8 ($U_{5,7}$) Let $TMU_{5,7} = (\{u_1, u_2, u_3, u_4, u_5\}, \{\overleftarrow{a}, \overleftarrow{b}, \overrightarrow{a}, \overrightarrow{b}, \lambda, \overleftarrow{\lambda}, \overrightarrow{\lambda}\},$

$\overleftarrow{a}, f, u_1, \{u_4, u_5\}$) where f is given by the following transition rules.

$u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overleftarrow{a}, \lambda, L, u_4$	$u_3, \overleftarrow{a}, \overrightarrow{a}, L, u_3$
$u_1, \overleftarrow{b}, \overleftarrow{a}, R, u_2$	$u_2, \overleftarrow{b}, \overleftarrow{b}, L, u_3$	$u_3, \overleftarrow{b}, \overrightarrow{b}, L, u_3$
$u_1, \overrightarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overrightarrow{a}, \overleftarrow{a}, R, u_2$	$u_3, \overrightarrow{a}, \overrightarrow{a}, R, u_1$
$u_1, \overrightarrow{b}, \overleftarrow{b}, R, u_1$	$u_2, \overrightarrow{b}, \overleftarrow{b}, R, u_2$	$u_3, \overrightarrow{b}, \overrightarrow{b}, R, u_2$
$u_1, \lambda, \overleftarrow{a}, L, u_2$	$u_2, \lambda, \overleftarrow{b}, L, u_2$	$u_3, \lambda, \overleftarrow{\lambda}, R, u_1$
$u_1, \overleftarrow{\lambda}, \overleftarrow{b}, R, u_5$	$u_2, \overleftarrow{\lambda}, \overleftarrow{a}, L, u_3$	$u_3, \overleftarrow{\lambda}, \overrightarrow{\lambda}, L, u_3$
$u_1, \overrightarrow{\lambda}, \overleftarrow{\lambda}, R, u_1$	$u_2, \overrightarrow{\lambda}, \overleftarrow{\lambda}, R, u_2$	$u_3, \overrightarrow{\lambda}, \lambda, R, u_5$
$u_4, \overleftarrow{a}, \overrightarrow{a}, L, u_4$	$u_5, \overleftarrow{a}, \overleftarrow{a}, L, u_5$	
$u_4, \overleftarrow{b}, \overrightarrow{b}, L, u_4$	$u_5, \overleftarrow{b}, \overleftarrow{a}, R, u_1$	
$u_4, \overrightarrow{a}, \overleftarrow{a}, R, u_3$	$u_5, \overrightarrow{a}, \overleftarrow{a}, R, u_5$	
$u_4, \overrightarrow{b}, \overleftarrow{b}, R, u_3$	$u_5, \overrightarrow{b}, \overleftarrow{b}, R, u_5$	
$u_4, \lambda, \lambda, R, u_3$	$u_5, \lambda, \overleftarrow{\lambda}, L, u_1$	
$u_4, \overleftarrow{\lambda}, \overrightarrow{\lambda}, L, u_4$	$u_5, \overleftarrow{\lambda},$	
$u_4, \overrightarrow{\lambda},$	$u_5, \overrightarrow{\lambda}, \lambda, R, u_5$	

Remark 4 There are some minor differences between the operation of $U_{5,7}$ and $U_{3,11}$. The order of symbols in ETRs of $U_{5,7}$ is reversed when compared with ETRs of $U_{3,11}$, assuming $\overleftarrow{a} = e$ and $\overleftarrow{b} = h$. To see this, note the difference between Equations (3) and (12). When printing an ETR, $U_{5,7}$ reverses the order so that encoded current states are of the same form as those in $U_{3,11}$. Also M 's encoded tape symbols to the left and right of the simulated tape head use the *same* encodings ($\hat{0} = \overleftarrow{a}\overleftarrow{a}$ and $\hat{1} = \overleftarrow{b}\overleftarrow{a}$). This is not the case for $U_{3,11}$.

We give a brief overview of the computation of $U_{5,7}$.

Cycle 1 (Index next ETR)

$u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overleftarrow{a}, \lambda, L, u_4$	$u_3, \overleftarrow{a}, \overrightarrow{a}, L, u_3$
$u_1, \overleftarrow{b}, \overleftarrow{a}, R, u_2$	$u_2, \overleftarrow{b}, \overleftarrow{b}, L, u_3$	$u_3, \overleftarrow{b}, \overrightarrow{b}, L, u_3$
$u_1, \overrightarrow{a}, \overleftarrow{a}, R, u_1$		$u_3, \lambda, \overleftarrow{\lambda}, R, u_1$
$u_1, \overrightarrow{b}, \overleftarrow{b}, R, u_1$		$u_3, \overleftarrow{\lambda}, \overrightarrow{\lambda}, L, u_3$
$u_1, \overrightarrow{\lambda}, \overleftarrow{\lambda}, R, u_1$		

In Cycle 1 the leftmost block of TRs (above) reads the encoded current state. The rightmost block neutralises λ markers by replacing them with $\overleftarrow{\lambda}$ or $\overrightarrow{\lambda}$ to index the next ETR. The middle block decides when the cycle is complete.

Each \overleftarrow{b} in the encoded current state is replaced with \overleftarrow{a} and then $U_{5,7}$ enters state u_3 via u_2 .

Cycle 2 (Print ETR)

$$\begin{array}{llll}
u_4, \overleftarrow{a}, \overrightarrow{a}, L, u_4 & u_3, \overrightarrow{a}, \overrightarrow{a}, R, u_1 & u_2, \overleftarrow{a}, \lambda, L, u_4 & u_1, \overrightarrow{a}, \overleftarrow{a}, R, u_1 \\
u_4, \overleftarrow{b}, \overrightarrow{b}, L, u_4 & u_3, \overrightarrow{b}, \overrightarrow{b}, R, u_2 & u_2, \overrightarrow{a}, \overleftarrow{a}, R, u_2 & u_1, \overrightarrow{b}, \overleftarrow{b}, R, u_1 \\
u_4, \overrightarrow{a}, \overleftarrow{a}, R, u_3 & u_3, \overrightarrow{\lambda}, \lambda, R, u_5 & u_2, \overrightarrow{b}, \overleftarrow{b}, R, u_2 & u_1, \lambda, \overleftarrow{a}, L, u_2 \\
u_4, \overrightarrow{b}, \overleftarrow{b}, R, u_3 & & u_2, \lambda, \overleftarrow{b}, L, u_2 & u_1, \overrightarrow{\lambda}, \overleftarrow{\lambda}, R, u_1 \\
u_4, \lambda, \lambda, R, u_3 & & u_2, \overrightarrow{\lambda}, \overleftarrow{\lambda}, R, u_2 & \\
u_4, \overleftarrow{\lambda}, \overrightarrow{\lambda}, L, u_4 & & &
\end{array}$$

This cycle copies an ETR to M 's simulated tape head position. The leftmost block scans left and locates the next symbol of the ETR to be printed. The second block from the left records the symbol to be printed or ends the cycle. The rightmost two blocks scan right and print the appropriate symbol.

Cycle 3 (Restore tape)

$$\begin{array}{l}
u_5, \overrightarrow{a}, \overleftarrow{a}, R, u_5 \\
u_5, \overrightarrow{b}, \overleftarrow{b}, R, u_5 \\
u_5, \lambda, \overleftarrow{\lambda}, L, u_1 \\
u_5, \overrightarrow{\lambda}, \lambda, R, u_5
\end{array}$$

These TRs restore M 's simulated tape and encoded table of behaviour. $U_{5,7}$ moves right restoring each \overrightarrow{a} to \overleftarrow{a} , each \overrightarrow{b} to \overleftarrow{b} , and each $\overrightarrow{\lambda}$ to λ . This continues until $U_{5,7}$ reads λ , sending $U_{5,7}$'s control into u_1 .

Cycle 4 (Choose read or write symbol)

$$\begin{array}{lll}
u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1 & u_2, \overleftarrow{\lambda}, \overleftarrow{a}, L, u_3 & u_5, \overleftarrow{a}, \overleftarrow{a}, L, u_5 \\
u_1, \overleftarrow{b}, \overleftarrow{a}, R, u_2 & & u_5, \overleftarrow{b}, \overleftarrow{a}, R, u_1 \\
u_1, \overleftarrow{\lambda}, \overleftarrow{b}, R, u_5 & &
\end{array}$$

This Cycle either (i) begins the indexing of an ETR or (ii) completes the execution of an ETR. More precisely: (i) if $U_{5,7}$ is immediately after simulating a left move then this cycle reads the encoded read symbol to the left of the encoded current state, (ii) if $U_{5,7}$ is simulating a right move then this cycle prints the encoded write symbol to the left of the encoded current state.

The halting case for $U_{5,7}$ is more complex than the previous UTMs. If the simulated tape head is attempting to move left at the left end of the simulated

tape then $U_{5,7}$ has the following configuration:

$$\mathbf{u}_5, (\lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E}')^* \lambda \lambda \overleftarrow{b} \overleftarrow{\lambda} \overleftarrow{a} \overleftarrow{a}^* \overleftarrow{b}^4 \overleftarrow{b}^* \overleftarrow{a} (\overleftarrow{b} \overleftarrow{a} \cup \overleftarrow{a} \overleftarrow{a})^* \overleftarrow{a}^\omega$$

The computation continues through 13 configurations before the halting configuration given below is reached.

$$\mathbf{u}_5, (\lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E}')^* \lambda \lambda \overleftarrow{a} \overleftarrow{b} \overleftarrow{\lambda} \overleftarrow{a} \overleftarrow{a}^* \overleftarrow{b}^4 \overleftarrow{b}^* \overleftarrow{a} (\overleftarrow{b} \overleftarrow{a} \cup \overleftarrow{a} \overleftarrow{a})^* \overleftarrow{a}^\omega$$

There is no TR for the state-symbol pair $(u_5, \overleftarrow{\lambda})$ in $U_{5,7}$ so the simulation halts.

5.3 Construction of $U_{7,5}$

For $U_{7,5}$ the start state of \widehat{M} is encoded as $\widehat{q}_1 = \overleftarrow{a}^{5|Q|+1} \overleftarrow{b}^3$. The encoding of M 's current state is of the form $\overleftarrow{a}^* \overleftarrow{b}^3 \overleftarrow{b}^* \{\overleftarrow{a} \cup \epsilon\}$ and is of length $5|Q| + 4$.

Let $t = (q_x, \sigma_1, \sigma_2, D, q_y)$ be a fixed TR in M , then t is encoded via \mathcal{P} using the function \mathcal{E} on its own, or in conjunction with \mathcal{E}' , where

$$\mathcal{E}(t) = \begin{cases} \overleftarrow{b}^{b(t)+1} (\overleftarrow{a} \overleftarrow{b})^{a(t)+1} \overleftarrow{b} & \text{if } D = R, \sigma_2 = 0 \\ \overleftarrow{b}^{b(t)+1} (\overleftarrow{a} \overleftarrow{b})^{a(t)-1} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b} & \text{if } D = R, \sigma_2 = 1 \\ (\overleftarrow{a} \overleftarrow{b})^3 \overleftarrow{b}^{b(t)+1} (\overleftarrow{a} \overleftarrow{b})^{a(t)-1} \overleftarrow{b} & \text{if } D = L, \sigma_2 = 0 \\ \overleftarrow{a} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b} \overleftarrow{b}^{b(t)+1} (\overleftarrow{a} \overleftarrow{b})^{a(t)-1} \overleftarrow{b} & \text{if } D = L, \sigma_2 = 1 \end{cases} \quad (14)$$

and

$$\mathcal{E}'(f, t) = \begin{cases} \overleftarrow{b}^{b(t^{R,x})+3} (\overleftarrow{a} \overleftarrow{b})^{a(t^{R,x})-2} \overleftarrow{b} & \text{if } \exists t^{R,x}, q_x \neq q_1 \\ \epsilon & \text{if } \nexists t^{R,x}, q_x \neq q_1 \\ \overleftarrow{b}^5 (\overleftarrow{a} \overleftarrow{b})^{5|Q|-2} \overleftarrow{b} & \text{if } q_x = q_1 \end{cases} \quad (15)$$

where as before $t^{R,x}$ is any right moving TR such that $t^{R,x} \vdash t$.

The value of the ending E , from Equation (1), for $U_{7,5}$ is $E = \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \lambda \overleftarrow{a}$.

Definition 9 ($U_{7,5}$) Let $TMU_{7,5} = (\{u_1, u_2, u_3, u_4, u_5, u_6, u_7\}, \{\overleftarrow{a}, \overleftarrow{b}, \lambda, \delta, \gamma\},$

$\overleftarrow{a}, f, u_1, \{u_2, u_5\}$) where f is given by the following transition rules.

$u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overleftarrow{a}, \gamma, L, u_4$	$u_3, \overleftarrow{a}, \overleftarrow{a}, L, u_3$
$u_1, \overleftarrow{b}, \overleftarrow{a}, R, u_2$	$u_2, \overleftarrow{b}, \overleftarrow{b}, L, u_3$	$u_3, \overleftarrow{b}, \lambda, L, u_3$
$u_1, \lambda, \overleftarrow{b}, R, u_1$	$u_2, \lambda, \gamma, R, u_1$	$u_3, \lambda, \delta, R, u_1$
$u_1, \delta, \delta, R, u_1$	$u_2, \delta,$	$u_3, \delta, \delta, L, u_3$
$u_1, \gamma, \overleftarrow{a}, L, u_2$	$u_2, \gamma, \overleftarrow{b}, R, u_6$	$u_3, \gamma, \overleftarrow{a}, R, u_5$
$u_4, \overleftarrow{a}, \overleftarrow{a}, L, u_4$	$u_5, \overleftarrow{a}, \overleftarrow{a}, R, u_2$	$u_6, \overleftarrow{a}, \overleftarrow{a}, R, u_6$
$u_4, \overleftarrow{b}, \lambda, L, u_4$	$u_5, \overleftarrow{b}, \overleftarrow{a}, R, u_3$	$u_6, \overleftarrow{b}, \overleftarrow{a}, L, u_7$
$u_4, \lambda, \lambda, R, u_5$	$u_5, \lambda, \gamma, R, u_6$	$u_6, \lambda, \overleftarrow{b}, R, u_6$
$u_4, \delta, \delta, L, u_4$	$u_5, \delta, \lambda, R, u_7$	$u_6, \delta, \delta, R, u_6$
$u_4, \gamma, \overleftarrow{b}, R, u_5$	$u_5, \gamma,$	$u_6, \gamma, \overleftarrow{b}, L, u_2$
$u_7, \overleftarrow{a}, \overleftarrow{a}, R, u_7$		
$u_7, \overleftarrow{b}, \overleftarrow{a}, R, u_1$		
$u_7, \lambda, \overleftarrow{b}, R, u_7$		
$u_7, \delta, \lambda, R, u_7$		
$u_7, \gamma, \gamma, L, u_5$		

Remark 5 There are some minor differences between the operation of $U_{7,5}$ and $U_{3,11}$. The order of symbols in ETRs of $U_{7,5}$ is reversed when compared with ETRs of $U_{3,11}$, assuming $\overleftarrow{a}\overleftarrow{b} = e$ and $\overleftarrow{b} = h$. To see this, note the difference between Equations (3) and (14). When printing an ETR, $U_{7,5}$ reverses the order so that encoded current states are of the same form as $U_{3,11}$. Also M 's encoded tape symbols to the left and right of the simulated tape head use the *same* encodings ($\hat{0} = \overleftarrow{a}\overleftarrow{a}$ and $\hat{1} = \overleftarrow{b}\overleftarrow{a}$). This is not the case for $U_{3,11}$.

We give a brief overview of $U_{7,5}$'s computation.

Cycle 1 (Index next ETR)

$u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overleftarrow{a}, \gamma, L, u_4$	$u_3, \overleftarrow{a}, \overleftarrow{a}, L, u_3$
$u_1, \overleftarrow{b}, \overleftarrow{a}, R, u_2$	$u_2, \overleftarrow{b}, \overleftarrow{b}, L, u_3$	$u_3, \overleftarrow{b}, \lambda, L, u_3$
$u_1, \lambda, \overleftarrow{b}, R, u_1$		$u_3, \lambda, \delta, R, u_1$
$u_1, \delta, \delta, R, u_1$		$u_3, \delta, \delta, L, u_3$

In Cycle 1 the leftmost block of TRs (above) reads the encoded current state. The rightmost block neutralises λ markers by replacing them with δ symbols to index the next ETR. The middle block decides when the cycle is complete.

Each \overleftarrow{b} in the encoded current state is replaced with \overleftarrow{a} and $U_{7,5}$ then enters state u_3 via u_2 .

Cycle 2 (Print ETR)

$u_2, \overleftarrow{a}, \gamma, L, u_4$	$u_5, \overleftarrow{a}, \overleftarrow{a}, R, u_2$	$u_6, \overleftarrow{a}, \overleftarrow{a}, R, u_6$	$u_2, \lambda, \gamma, R, u_1$
$u_4, \overleftarrow{a}, \overleftarrow{a}, L, u_4$	$u_5, \lambda, \gamma, R, u_6$	$u_6, \lambda, \overleftarrow{b}, R, u_6$	$u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1$
$u_4, \overleftarrow{b}, \lambda, L, u_4$	$u_5, \delta, \lambda, R, u_7$	$u_6, \delta, \delta, R, u_6$	$u_1, \lambda, \overleftarrow{b}, R, u_1$
$u_4, \lambda, \lambda, R, u_5$		$u_6, \gamma, \overleftarrow{b}, L, u_2$	$u_1, \delta, \delta, R, u_1$
$u_4, \delta, \delta, L, u_4$			$u_1, \gamma, \overleftarrow{a}, L, u_2$
$u_4, \gamma, \overleftarrow{b}, R, u_5$			

This cycle copies an ETR to M 's simulated tape head position. The leftmost block scans left and locates the next symbol of the ETR to be printed. The second block from the left records the symbol to be printed or ends the cycle. The rightmost two blocks scan right and print the appropriate symbol.

Cycle 3 (Restore tape)

$u_7, \overleftarrow{a}, \overleftarrow{a}, R, u_7$
$u_7, \lambda, \overleftarrow{b}, R, u_7$
$u_7, \delta, \lambda, R, u_7$
$u_7, \gamma, \gamma, L, u_5$

These TRs restore M 's simulated tape and encoded table of behaviour. $U_{7,5}$ moves right restoring each λ to \overleftarrow{b} , and each δ to λ . This continues until $U_{7,5}$ reads γ , sending $U_{7,5}$'s control to u_5 .

Cycle 4 (Choose read or write symbol)

$u_5, \overleftarrow{a}, \overleftarrow{a}, R, u_2$	$u_2, \gamma, \overleftarrow{b}, R, u_6$	$u_6, \overleftarrow{a}, \overleftarrow{a}, R, u_6$	$u_7, \overleftarrow{a}, \overleftarrow{a}, R, u_7$
$u_5, \overleftarrow{b}, \overleftarrow{a}, R, u_3$	$u_3, \gamma, \overleftarrow{a}, R, u_5$	$u_6, \overleftarrow{b}, \overleftarrow{a}, L, u_7$	$u_7, \overleftarrow{b}, \overleftarrow{a}, R, u_1$

This Cycle either (i) begins the indexing of an ETR or (ii) completes the execution of an ETR. More precisely: (i) if $U_{7,5}$ is immediately after simulating a left move then this cycle reads the encoded read symbol to the left of the encoded current state, (ii) if $U_{7,5}$ is simulating a right move then this cycle prints the encoded write symbol to the left of the encoded current state.

The halting case for $U_{7,5}$ is more complex than the first two UTMs in this paper. When the simulated tape head is attempting to move left at the left

end of the simulated tape then $U_{7,5}$ has the following configuration:

$$u_7, (\lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E}')^* \lambda \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \lambda \underline{\gamma} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a}^* \overleftarrow{b}^3 \overleftarrow{b}^* \overleftarrow{a} (\overleftarrow{b} \overleftarrow{a} \cup \overleftarrow{a} \overleftarrow{a})^* \overleftarrow{a}^\omega$$

The computation continues through 42 configurations before the halting configuration given below is reached.

$$u_5, (\lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E} \lambda \mathcal{E}')^* \lambda \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \underline{\gamma} \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a}^* \overleftarrow{b}^3 \overleftarrow{b}^* \overleftarrow{a} (\overleftarrow{b} \overleftarrow{a} \cup \overleftarrow{a} \overleftarrow{a})^* \overleftarrow{a}^\omega$$

There is no TR for the state-symbol pair (u_5, γ) in $U_{7,5}$ so the simulation halts.

5.4 Construction of $U_{8,4}$

For $U_{8,4}$ the start state of \widehat{M} is encoded as $\widehat{q}_1 = \overleftarrow{a}^{5|Q|} \overleftarrow{b}^2$. The encoding of M 's current state is of the form $\overleftarrow{a}^* \overleftarrow{b}^2 \overleftarrow{b}^* \{\overleftarrow{a} \cup \epsilon\}$ and is of length $5|Q| + 2$.

Let $t = (q_x, \sigma_1, \sigma_2, D, q_y)$ be a fixed TR in M , then t is encoded via \mathcal{P} using the function \mathcal{E} on its own, or in conjunction with \mathcal{E}' , where

$$\mathcal{E}(t) = \begin{cases} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} (\overleftarrow{a} \overleftarrow{b})^{a(t)} \overleftarrow{b}^{2(b(t))} \overleftarrow{a} \overleftarrow{a} & \text{if } D = R, \sigma_2 = 0 \\ \overleftarrow{a} \overleftarrow{a} \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} (\overleftarrow{a} \overleftarrow{b})^{a(t)-1} \overleftarrow{b}^{2(b(t))} \overleftarrow{a} \overleftarrow{a} & \text{if } D = R, \sigma_2 = 1 \\ \overleftarrow{a} (\overleftarrow{a} \overleftarrow{b})^{a(t)-1} \overleftarrow{b}^{2(b(t))} (\overleftarrow{a} \overleftarrow{b})^3 \overleftarrow{a} \overleftarrow{a} & \text{if } D = L, \sigma_2 = 0 \\ \overleftarrow{a} (\overleftarrow{a} \overleftarrow{b})^{a(t)-1} \overleftarrow{b}^{2(b(t))} \overleftarrow{a} \overleftarrow{b} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} \overleftarrow{b} \overleftarrow{a} \overleftarrow{a} & \text{if } D = L, \sigma_2 = 1 \end{cases} \quad (16)$$

and

$$\mathcal{E}'(f, t) = \begin{cases} \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} (\overleftarrow{a} \overleftarrow{b})^{a(t^{R,x})-3} \overleftarrow{b}^{2(b(t^{R,x})+2)} \overleftarrow{a} \overleftarrow{a} & \text{if } \exists t^{R,x}, q_x \neq q_1 \\ \overleftarrow{a} & \text{if } \nexists t^{R,x}, q_x \neq q_1 \\ \overleftarrow{b} \overleftarrow{b} \overleftarrow{a} (\overleftarrow{a} \overleftarrow{b})^{5|Q|-3} \overleftarrow{b}^8 \overleftarrow{a} \overleftarrow{a} & \text{if } q_x = q_1 \end{cases} \quad (17)$$

where as before $t^{R,x}$ is any right moving TR such that $t^{R,x} \vdash t$.

The value of the ending E , from Equation (1), for $U_{8,4}$ is $E = \epsilon$.

Definition 10 ($U_{8,4}$) Let $TM_{U_{8,4}} = (\{u_1, u_2, u_3, u_4, u_5, u_6, u_7, u_8\}, \{\overleftarrow{a}, \overleftarrow{b}, \lambda, \delta\},$

$\overleftarrow{a}, f, u_1, \{u_2\}$) where f is given by the following transition rules.

$u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overleftarrow{a}, \lambda, L, u_4$	$u_3, \overleftarrow{a}, \overleftarrow{a}, L, u_3$
$u_1, \overleftarrow{b}, \overleftarrow{a}, R, u_2$	$u_2, \overleftarrow{b}, \overleftarrow{b}, L, u_3$	$u_3, \overleftarrow{b}, \delta, L, u_3$
$u_1, \lambda, \overleftarrow{b}, L, u_2$	$u_2, \lambda,$	$u_3, \lambda, \delta, R, u_1$
$u_1, \delta, \delta, R, u_1$	$u_2, \delta,$	$u_3, \delta, \delta, L, u_3$
$u_4, \overleftarrow{a}, \overleftarrow{a}, L, u_4$	$u_5, \overleftarrow{a}, \overleftarrow{a}, R, u_5$	$u_6, \overleftarrow{a}, \overleftarrow{a}, R, u_7$
$u_4, \overleftarrow{b}, \delta, L, u_5$	$u_5, \overleftarrow{b}, \delta, R, u_1$	$u_6, \overleftarrow{b}, \overleftarrow{a}, L, u_7$
$u_4, \lambda, \lambda, R, u_6$	$u_5, \lambda, \overleftarrow{a}, L, u_2$	$u_6, \lambda, \overleftarrow{b}, R, u_6$
$u_4, \delta, \delta, L, u_4$	$u_5, \delta, \delta, R, u_5$	$u_6, \delta, \overleftarrow{b}, R, u_8$
$u_7, \overleftarrow{a}, \overleftarrow{a}, R, u_6$	$u_8, \overleftarrow{a}, \overleftarrow{a}, R, u_6$	
$u_7, \overleftarrow{b}, \overleftarrow{a}, R, u_1$	$u_8, \overleftarrow{b}, \overleftarrow{a}, L, u_3$	
$u_7, \lambda, \overleftarrow{a}, R, u_1$	$u_8, \lambda, \overleftarrow{a}, L, u_8$	
$u_7, \delta, \lambda, R, u_6$	$u_8, \delta, \overleftarrow{b}, R, u_6$	

We give a brief overview of $U_{8,4}$'s computation. The tape contents are given by the same symbols ($\hat{1} = \overleftarrow{b}\overleftarrow{a}$ and $\hat{0} = \overleftarrow{a}\overleftarrow{a}$) to the left and right of the simulated TMs tape head.

Cycle 1 (Index next ETR)

$u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1$	$u_2, \overleftarrow{a}, \lambda, L, u_4$	$u_3, \overleftarrow{a}, \overleftarrow{a}, L, u_3$
$u_1, \overleftarrow{b}, \overleftarrow{a}, R, u_2$	$u_2, \overleftarrow{b}, \overleftarrow{b}, L, u_3$	$u_3, \overleftarrow{b}, \delta, L, u_3$
$u_1, \delta, \delta, R, u_1$		$u_3, \lambda, \delta, R, u_1$
		$u_3, \delta, \delta, L, u_3$

In Cycle 1 the leftmost block of TRs (above) reads the encoded current state. The rightmost block neutralises markers to index the next ETR. The middle block decides when the cycle is complete. In state u_1 the tape head scans from left to right; each \overleftarrow{b} in the encoded current state is replaced with \overleftarrow{a} and $U_{8,4}$ then enters state u_3 via u_2 .

Cycle 2 (Print ETR)

$u_2, \overleftarrow{a}, \lambda, L, u_4$	$u_5, \overleftarrow{a}, \overleftarrow{a}, R, u_5$	$u_1, \overleftarrow{a}, \overleftarrow{a}, R, u_1$
$u_4, \overleftarrow{a}, \overleftarrow{a}, L, u_4$	$u_5, \overleftarrow{b}, \delta, R, u_1$	$u_1, \lambda, \overleftarrow{b}, L, u_2$
$u_4, \overleftarrow{b}, \delta, L, u_5$	$u_5, \lambda, \overleftarrow{a}, L, u_2$	$u_1, \delta, \delta, R, u_1$
$u_4, \lambda, \lambda, R, u_6$	$u_5, \delta, \delta, R, u_5$	
$u_4, \delta, \delta, L, u_4$		

Before we explain this cycle we mention why ETRs for $U_{8,4}$ are longer than ETRs for the other UTMs (e.g. compare Equations (16) and (3)). In $U_{8,4}$'s ETRs there are multiple copies of the subwords $\overleftarrow{a}\overleftarrow{b}$ and $\overleftarrow{b}\overleftarrow{b}$. During the Print ETR cycle, the subword $\overleftarrow{a}\overleftarrow{b}$ will cause an \overleftarrow{a} to be printed and the subword $\overleftarrow{b}\overleftarrow{b}$ will cause a \overleftarrow{b} to be printed. During this cycle the next symbol to be printed is the symbol to the left of the rightmost \overleftarrow{b} in the ETR. The rightmost \overleftarrow{b} of the subwords $\overleftarrow{a}\overleftarrow{b}$ and $\overleftarrow{b}\overleftarrow{b}$ is simply a marker and the symbol directly to its left is the symbol that is to be printed. Extra \overleftarrow{a} symbols appear in $U_{8,4}$'s ETRs that do not result in symbols being printed during the print ETR cycle. These extra \overleftarrow{a} symbols are added to allow the restore tape cycle to execute correctly.

This cycle copies an ETR to M 's simulated tape head position. The leftmost block scans left and locates the next symbol of the ETR to be printed or ends the cycle. The middle block records the symbol to be printed. If an \overleftarrow{a} is to be printed the middle block also scans right and prints an \overleftarrow{a} . If a \overleftarrow{b} is to be printed the rightmost block scans right and prints a \overleftarrow{b} .

Cycle 3 (Restore tape)

$u_6, \overleftarrow{a}, \overleftarrow{a}, R, u_7$	$u_7, \overleftarrow{a}, \overleftarrow{a}, R, u_6$	$u_8, \overleftarrow{a}, \overleftarrow{a}, R, u_6$
$u_6, \lambda, \overleftarrow{b}, R, u_6$	$u_7, \lambda, \overleftarrow{a}, R, u_1$	$u_8, \lambda, \overleftarrow{a}, L, u_8$
$u_6, \delta, \overleftarrow{b}, R, u_8$	$u_7, \delta, \lambda, R, u_6$	$u_8, \delta, \overleftarrow{b}, R, u_6$

These TRs restore M 's simulated tape and encoded table of behaviour. $U_{8,4}$'s tape head scans right restoring δ symbols to \overleftarrow{b} and λ symbols. Recall that during Cycle 1 (Index next ETR) λ symbols are replaced with δ symbols in order to index the next ETR. Note also that during Cycle 1, as $U_{8,4}$ scans left, it also replaces each \overleftarrow{b} with δ . As mentioned earlier there are extra \overleftarrow{a} symbols in each ETR that do not effect what is printed to the overlined region. The reason for these extra \overleftarrow{a} symbols is to ensure that $U_{8,4}$ can distinguish which δ symbols to restore to λ symbols and which δ symbols to restore to \overleftarrow{b} symbols. The extra \overleftarrow{a} symbols ensure that $U_{8,4}$ will be in state u_7 if δ should be restored to λ and in u_6 or u_8 if δ should be restored to \overleftarrow{b} . This cycle ends when $U_{8,4}$ reads λ .

Cycle 4 (Choose read or write symbol)

$$\begin{array}{lll} u_6, \overleftarrow{a}, \overleftarrow{a}, R, u_7 & u_7, \overleftarrow{b}, \overleftarrow{a}, R, u_1 & u_8, \overleftarrow{b}, \overleftarrow{a}, L, u_3 \\ u_6, \overleftarrow{b}, \overleftarrow{a}, L, u_7 & & \end{array}$$

This cycle either (i) begins the indexing of an ETR or (ii) completes the execution of an ETR. More precisely: (i) if $U_{8,4}$ is immediately after simulating a left move then this cycle reads the encoded read symbol to the left of the encoded current state, (ii) if $U_{8,4}$ is simulating a right move then this cycle prints the encoded write symbol to the left of the encoded current state.

Remark 6 Halting case $U_{8,4}$. Recall that all our UTMs simulate halting by attempting to simulate a left move at the left end of the simulated tape. This is also true for $U_{8,4}$. However the halting case for $U_{8,4}$ differs slightly from the halting case for $U_{3,11}$. $U_{3,11}$ halts during Cycle 4 (Choose read or write symbol). $U_{8,4}$ halts in the configuration immediately after printing the last symbol of the left moving ETR at the end of Cycle 2 (Print ETR).

6 Conclusion and future work

We have improved the state of the art in small efficient UTMs. Fig. 1 summarises our results. Our UTMs infer a polynomial time curve that in some places matches the already known (from Rogozhin et al.) exponential time curve.

The decrease in the number of states and symbols was found, in part through direct simulation of TMs. This is rather surprising given the trend over the last forty years of indirect simulation through other universal models. The most recent small UTMs simulate TMs via 2-tag systems, with an exponential time overhead [4–7,16]. Before the advent of Minsky’s UTM in $UTM(7, 4)$, the smallest UTMs directly simulated TMs [2,3]. One problem in the construction of these UTMs was the addressing of states, that is locating the next encoded state during TR simulation. Some approaches to solving this problem are briefly discussed in Section 3.1 of Minsky’s paper [4]. A major advantage of our algorithm is the fact that the encoded current state is located at the simulated tape head position. This technique simplifies the addressing of states.

As future work it would be of interest to use our algorithm to construct small polynomial time UTMs in the classes $UTM(2, n)$ and $UTM(n, 2)$. This would give a more complete polynomial time curve. Also, our UTM in $UTM(6, 6)$ uses only 32 of 36 available TRs and so it seems possible that it could be improved to a UTM in $UTM(5, 6)$ or $UTM(6, 5)$.

What about small UTMs with less than polynomial time complexity? For example, consider the construction of a linear time UTM. Our UTM stores the encoded current state at the simulated tape head location. Suppose the *entire* encoded table of behaviour is stored at this location. Simulating a TR merely involves scanning through the encoded table of behaviour, it is not necessary to scan the entire simulated tape contents. The idea is straightforward, however trying to construct *small* linear time UTMs could be difficult.

Cook [16,17] has recently published UTMs in $UTM(2, 5)$, $UTM(3, 4)$, $UTM(4, 3)$ and $UTM(7, 2)$ that are smaller than those of Rogozhin et al. However, Cook's UTMs differ from the classical [14] Turing machine definition. Instead of having a blank symbol these machines have two blank words. Cook's UTMs require the blank tape to have an infinitely repeating blank word to the left and a different infinitely repeating blank word to the right. Cook's machines also suffer from an exponential slowdown through simulation of 2-tag systems. As future work it would be interesting to find polynomial time UTMs as small as Cook's. At present it seems technically challenging to apply our algorithm to state-symbol pairs as small as Cook's so we suspect that a radically different approach is required.

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